

BINGHAMTON UNIVERSITY
START-UP NY CAMPUS PLAN
AMENDMENT 2
Date: 12/3/2015

Please return comments to:

Per Stromhaug

Assistant Vice President

Innovation and Economic Development

Office of Entrepreneurship and Innovation Partnerships

Binghamton University

&

Executive Director

Southern Tier High Technology Incubator

per@binghamton.edu

607-777-5873



**SUNY START-UP NY
Campus Plan for Designation of Tax-Free Area(s) Memorandum (CPM)**

To: SUNY Chancellor

From: **Per Stromhaug**

Re: **Binghamton University** Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan")

Date: **12/3-2015**

For campus Office of the President:

The arrangement documented in the attached Campus Plan is aligned to the academic mission of **Binghamton University** and in accordance with all SUNY policies, procedures, and guidelines.

Signature of campus President

Harvey Stenger
Print Name

-----FOR SUNY SYSTEM ADMINISTRATION USE ONLY-----

For SUNY's START-UP NY Proposal Review Team Co-Chair: It is recommended by the SUNY START-UP NY Proposal Review Team that SUNY [approve/reject] the attached Campus Plan:

Proposal Review Team Co-Chair

Date

Print Name

For SUNY Office of the Chancellor:

The attached Campus Plan is hereby [approved/rejected] for campus submission to the NYS Commissioner of Economic Development.

Signature of the Chancellor or designee

Date

Print Name



To: Mr. Howard Zemsky, NYS Commissioner of Economic Development
From: President **Harvey Stenger** of **Binghamton University, State University of New York**
Re: **Binghamton University's** Campus Plan for Designation of Tax-Free Area(s)
Date: **12/3-2015**

I, President **Stenger** of **Binghamton University** hereby certify the following:

- a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality or municipalities in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and
- b.) we comply with Public Officers Law Section 74; State University of New York's Policy on Conflict of Interest; and the Research Foundation's Conflict of Interest Policy and Guidelines for the Management of Conflicts of Interest; and attached copies of the policies and/or guidelines herewith; and
- c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and
- d.) we are aware of the non-governmental use limitations associated with state issued tax exempt bonds and if our proposed Tax-Free NY Area was financed with tax exempt bonds, we will: 1.) make potential businesses aware of these limitations when marketing property; and 2.) take appropriate steps to ensure that non-governmental use of property funded with tax-exempt bonds will not jeopardize the tax exempt status of state issued bonds; and
- e.) we consulted with the municipality or municipalities in which such land or space is located prior to including such space or land in the proposed Tax-Free NY Area and we have given preference to underutilized properties; and
- f.) we have not relocated or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, parking, or any other facility, space or program that actively serves students, faculty or staff in order to create vacant land or space to be designated as a Tax-Free NY Area; and
- g.) the information contained in the enclosed application is accurate and complete.

PRESIDENT'S SIGNATURE

DATE

Attachments/Enclosures:

- 1.) Tax-Free Area Plan with Polygon shapefile of campus area (if available) and/or point data of vacant space (if available), **OR** outline and shaded delineation of proposed tax-free area on a campus aerial photo and/or campus map shaded to indicate land or building containing proposed tax-free space, and floor plans of building space with designated space clearly labeled and shaded.
- 2.) Excel spreadsheet of property to be designated
- 3.) Applicable conflict of interest policies
- 4.) Evidence of submission of Tax-Free Area Plan to interested parties



START-UP NY CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name: **Binghamton University, State University of New York**
Campus Contact Name: **Per Stromhaug**
Campus Contact Title: **Assistant Vice President**
Campus Contact E-mail: **per@binghamton.edu**
Campus Contact Phone: **607-777-5873**

THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

- 1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:
 - i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

Name: Binghamton University, State University of New York
Campus Address: PO Box 6000, Binghamton, NY-13902-6000
Address(es) of Proposed Tax-Free NY Area(s) : 85 Murray Hill Road, Vestal, NY-13850 (campus) 110-124 Hawley Street, Binghamton, NY-13901 (campus) 59-61 Court Street, Binghamton, NY-13901 (campus) 100 Eldredge Street, Binghamton, NY-13901 (campus)
Description of Physical Characteristics of Proposed Tax-Free NY Area(s): 85 Murray Hill Road: 7,496 sq. ft. office space in the Start-Up Suite Pre-Incubator on the Binghamton University campus, which is part of the Southern Tier Regional Hotspot. The offices are housed within three of the buildings at the Innovative Technologies Complex: the Biotechnology Building (SU-107-1-B-0136-000-A); the Engineering & Science Building (SU-107-1-B-0148-000-A); and, the Center of Excellence Building (SU-107-1-B-0156-000-A). 3,825 SF laboratory space in the Start-Up Suite Pre-Incubator in the Center of Excellence Building (SU-107-1-B-0156-000-A). Companies in the Start-Up Suite have access to shared services, conference rooms and other common areas. 110-124 Hawley Street: Several adjoining lots in downtown Binghamton combined into a

2.1 acre parcel (SU-107-1-L-0176-000-A) that will house the 34,064 sq. ft. Southern Tier High Technology Incubator (SU-107-1-B-0175-000-A) (construction to start in 2015). There will be opportunities for additional development on the site under the Start-Up NY program. The lots were previously used for parking, and also contained a few vacant structures that have been removed.

59-61 Court Street, Binghamton, NY-13901: 4,300 sq. ft. of second floor will be used for the BCIDA Incubator affiliated with the Start-Up Suite at Binghamton University and the Southern Tier Regional Hotspot, offering office and co-work space as well as shared services, conference room and other common areas. (SU-107-2-B-1002-000-A).

100 Eldredge Street, Binghamton, NY-13901: 52,000 sq. ft. manufacturing space (SU-107-1-B-1001-000-A).

- ii. Complete the Excel spreadsheet template provided with this document, noting the instructions on page 2. Include the official SUNY Physical Space Inventory (PSI) building number and a clear description of the spaces in the building or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Include only properties sought to be designated now and exclude potential sites that may be considered in the future (see 2a below). Attach the completed spreadsheet to this plan.
 - iii. Provide also a representation of each proposed site drawn in AutoCAD on a scaled campus map with boundaries drawn clearly. Two versions should be created; one including an imbedded layer from Google Earth or other aerial photograph of the property. The second version should exclude the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which ties to identifiers in the Excel spreadsheet. If digital files containing Polygon shapefile that delineates area for designation are available, provide these as well. Attach these materials to this plan.
 - iv. Provide a campus map with each proposed building shaded. Label each building with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. For each building shaded and labeled, include floor plans of all areas under consideration with the specific spaces clearly shaded and labeled with official PSI room numbers. If digital files containing Point shapefiles that provide locations of area for designation are available, provide these as well. Attach these materials to this plan.
- 2) The total square footage of the space and/or acreage of land proposed for designation as a Tax-Free NY Area is:

- 3) 101,685 sq. ft. campus space
- 4) 95,000 sq. ft. (2.1 acres) campus land

2a) If applicable: You may include here a description of any potential space or acreage of land that you may seek to designate as a Tax-Free NY Area under the START-UP NY Program in

the future. This may include campus property that may become vacant, or other properties in your community that are not currently part of your campus but may be desirable for a company partner and with which you may consider an affiliation if an appropriate partner is identified. Do not include these properties in the Excel spreadsheet.

Charles Street Business Park, Binghamton
Huron Campus Building #258, Endicott (250,000 sq. ft.); several additional buildings available
265 Industrial Park Dr., Binghamton (“L-3 Building”)
Broome County Industrial Park, Binghamton
Airport Corp Center, Johnson City
Glendale Business Park, Endicott
Endicott Learning Center, 23 Jackson Avenue, Endicott (95,000 sq. ft.)
200 Court St., Binghamton (100,000 sq. ft.)
1901 Vestal Parkway, Vestal, NY-13850 (25,000 sq. ft. office complex)
157 Oakdale Rd, Johnson City, NY-13790 (25,000 sq. ft. office complex under construction)

2b) *If applicable*: The total square footage of the space or acreage of land that you may propose to designate as a Tax-Free Area as identified in 2a, if known.

TBD

3) Provide a description of the type of business or businesses that may locate in the area identified in #1.

Through Start-Up NY, Binghamton University will seek to help start, attract and partner with companies that align with our academic and strategic missions’ focus on the student, and that augment the University’s academic strengths and research areas.

Furthermore, in line with the strategic plan of the Southern Tier Regional Economic Development Council, Binghamton University is partnering with SUNY Broome Community College, Cornell University and other colleges in the region to develop a strategy for maximizing the impact of Start-Up NY throughout the Southern Tier. In collaboration with local economic and industrial development organizations and the chamber of commerce, the competencies and qualities found in the Southern Tier will be marketed to attract and match companies to the appropriate resources and facilities on the campuses and in the communities. The Vision statement of the Southern Tier Regional Economic Development Council reads:

“Building on a strong foundation of existing businesses and higher education institutions the Southern Tier region uses a collaborative approach to leverage its globally competitive advantages to attract talent and investment for the development of industry clusters. Our focus will be on increasing the size and prosperity of the region’s workforce through new business creation based on high-technology discoveries and other entrepreneurship activities, while ensuring healthy

communities and protecting the natural beauty and resources of the region.”

Moreover, the objectives of the Southern Tier Regional Incubator Strategy adopted by the Southern Tier Regional Economic Development Council are to

- a) increase the amount of technology being commercialized by regional research sources;*
- b) increase the number of companies being formed in the region;*
- c) increase the success rate of companies being formed; and*
- d) increase the number of students educated in the region who then remain in the region to start companies and join startups.*

Binghamton University already works extensively with industry through our New York State Center of Excellence, the Small Scale Systems and Integrated Packaging Center (S3IP), the Thomas J. Watson School of Engineering and Applied Science’s outreach programs such as the Watson Institute for Systems Excellence (WISE), the Strategic Partnership for Industrial Resurgence (SPIR), and other forms of engagement, to provide students with extensive experiential learning and applied research opportunities, both on campus and at the facilities of industry partners. The Start-Up NY program adds another dimension to these efforts, for example by incentivizing industry partners to co-locate within Binghamton University facilities or in proximity to campus. The initial focus areas for recruitment align with the focus areas of the S3IP, the Start-Up Suite and the Southern Tier High Technology Incubator. They are also congruent with the strategic plan of the Southern Tier Economic Development Council: companies that do research, development and manufacturing within energy efficiency and renewable energy, electronics packaging and microelectronics, nanotechnology, and health sciences sectors. Binghamton University has client companies in the Start-Up Suite Incubator and is in discussions with other start-up companies and with faculty, staff, students, alumni and other entrepreneurs about starting companies within these fields. Other areas include information gathering and analysis (“big data”), market and financial analytics, tools for human resources and social entrepreneurship, media content and technologies, cyber and computer security and monitoring, simulation platforms, health assessment tools and services, and life sciences and medical applications including pharmaceuticals. The companies sought as partners through the Start-Up NY program will further the academic mission of the University by providing opportunities for

- a) internships, externships and other external student learning experiences;*
- b) research and development collaborations that include faculty, staff or students;*
- c) faculty, staff and students to apply for and win grants and contracts, either from the company or from other sources in collaboration with the company;*
- d) employment for graduates;*
- e) increased utilization of University assets, for example of Center of Excellence facilities and other core research and development resources;*
- f) commercialization of University research and technologies through licensing or other*

- partnerships; or*
- g) *mentoring, coaching or other means of intellectual or financial assistance of University entrepreneurs and University start-up companies.*

- 4) Provide a description of the campus academic mission, and explain how the businesses identified in #3 will align or further the academic mission of the university or college.

ACADEMIC MISSION

Binghamton University defines itself as a premier public university. As such, the University's mission is to enrich the lives of people through discovery, education, and engagement. The University identifies its academic mission as follows:

"Binghamton University is a premier public university dedicated to enriching the lives of people in the region, state, nation and world through discovery and education and to being enriched by partnerships with those communities."

STRATEGIC MISSION

The University's strategic plan identifies a commitment to sustain excellence during the period of transition, blending traditional and innovative approaches to create effective actions. Its vision is as follows:

"Binghamton will distinguish itself as a stellar institution of higher education, one that combines an international reputation for graduate education, research, scholarship and creative endeavor with the best undergraduate programs available at any public university."

ACADEMIC STRENGTHS

Binghamton offers 130 academic areas of study for undergraduates and 70 for graduate students. The campus currently has over 16,000 students, whereof 3,000 are graduate students, and 650 faculty.

Binghamton's programs are housed within six schools:

Harpur College of Arts and Sciences' main goal is to deliver the highest quality education possible in a full range of liberal arts studies, with a curriculum designed to strengthen intellectual skills and general knowledge.

School of Management provides unique undergraduate programs in accounting and management, which stress analytical capabilities, experiential learning and integration across functional areas,

communication skills and global and ethical environment.

Decker School of Nursing has as mission to disseminate and advance knowledge about human health care, health promotion and the treatment of illness in individuals, families and communities, with an emphasis on underserved and rural populations.

Thomas J. Watson School of Engineering and Applied Science offers bachelor's degrees, master's degrees, and doctoral programs in five fields of study: Bioengineering; Computer Science; Electrical and Computer Engineering; Mechanical Engineering; and, Systems Science & Industrial Engineering.

The College of Community and Public Affairs (CCPA) provides undergraduate degree programs in human development and graduate programs in public administration, social work and student affairs administration.

Graduate School of Education offers master's degree programs in Adolescence Education, Childhood Education, Literacy Education, and Special Education. All of these programs are approved for New York state teaching certification.

In addition, Binghamton University is in the process of establishing a *School of Pharmacy and Pharmaceutical Sciences* to be located in Johnson City.

RESEARCH AREAS

Binghamton University research focuses on issues of societal, technological, economic, cultural, intellectual and aesthetic significance — all issues critical to our future — through thoughtful development of five transdisciplinary areas of excellence:

Citizenship, Rights, and Cultural Belonging seeks new understandings of the ideas of citizenship, rights and cultural belonging and supports inquiries that emphasize their cultural, ethnic, gendered, sexual, biological and transnational facets.

Health Sciences will help to ensure that the latest innovations in healthcare travel speedily from lab bench to bedside by integrating cutting-edge biomedical research with systems science, industrial engineering and microelectronic device development.

Material and Visual Worlds has scholars from a wide variety of disciplines – the social sciences, the humanities and the fine arts – and seeks to analyze things and images as gatherings of technologies, materials, histories and interactions extending through space and time.

Smart Energy will build upon existing strengths in alternative energy research such as solar and thermoelectric energy harvesting, energy storage, energy efficiency in electronic systems, and

sensor development for energy resource management.

Sustainable Communities will help us understand what it takes to model, build and maintain sustainable communities. Collaboration among faculty from many disciplines enables a deeper understanding of past, present and future trends in society and nature.

NEW YORK STATE CENTER OF EXCELLENCE

In addition to the teaching and research concentrations of its colleges and the transdisciplinary areas of excellence, Binghamton has unique strengths and capabilities resident in the New York State Center of Excellence, the Small Scale Systems Integration and Packaging Center (S3IP), which contains the following units and laboratories:

The Integrated Electronics Engineering Center (IEEC) is a New York State Center for Advanced Technology (CAT) in Electronics Packaging. The IEEC is dedicated to the advancement of electronic packaging technology and the electronics industry.

The Center for Advanced Microelectronics Manufacturing (CMM) is the nation's first prototype research and development (R&D) facility in large-area flexible electronics.

The Center for Autonomous Solar Power (CASP) aims to reduce the cost of solar power and enhance energy efficiency by drawing on expertise from engineering, computer science, chemistry and physics.

The Analytical and Diagnostics Laboratory (ADL) is an interdisciplinary research facility that promotes high-tech commercialization of microelectronic technologies as well as life sciences research applications in medical areas.

The Nanofabrication Laboratory (NLAB) supports nano-scale research by providing state-of-the-art resources.

The Center for Energy-Smart Electronic Systems (ES2) is a National Science Foundation Industry/University Cooperative Research Center (I/UCRC) that aims to develop systematic methodologies for efficiently operating electronic systems including data centers.

The NorthEast Center for Chemical Energy Storage (NECCES) is a DOE funded international consortium dedicated to understanding and improving battery technology by researching new and current materials and reaction chemistries, and enabling new chemistries.

- 5) Provide a description of how participation by these types of businesses in the START-UP NY Program will generate positive community and economic benefits, including but not limited to:

- Increased employment opportunities;
- Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
- Diversification of the local economy;
- Environmental sustainability;
- Increased entrepreneurship opportunities;
- Positive, non-competitive and/or synergistic links to existing businesses;
- Effect on the local economy; and
- Opportunities as a magnet for economic and social growth.

Start-Up NY will stimulate to the formation of partnerships between the campus and the companies that will create positive community and economic benefits by creating opportunities for

- a) *job creation;*
- b) *commercialization of University technologies for public benefit;*
- c) *intellectual capital shared between the University and the company;*
- d) *access to business leaders by faculty, staff and students;*
- e) *public/private partnerships that enhance the attractiveness of the area for companies;*
- f) *enhanced teaching curricula;*
- g) *increased awareness of University programs and resources; and*
- h) *elevated brand of Binghamton University .*

In addition, Start-Up NY will help Binghamton University increase the overall economic activity in the region by

- a) *building an entrepreneurial ecosystem in the Greater Binghamton Area that fosters start-up company formation, recruits start-up companies to the region, and catalyzes small companies to grow and expand into new areas and product lines;*
- b) *being a preferred partner for small-, medium- and large-sized companies by making available intellectual capital and high technology assets that will help regional companies expand existing business and create new business areas, and that will recruit companies to the region; and by*
- c) *providing a highly trained workforce for new and existing companies of all sizes.*

- 6) Provide a description of the process the Sponsor (campus) will follow to select participating businesses. The description should identify the membership of any group or committee that may make recommendations, the final decision-maker, and the criteria that will be used to make decisions. This group or committee must include representation from faculty governance. The criteria may include some or all of the following:

A. Academic and Research Alignment

1. Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?

2. Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
3. Does the business provide areas for partnership and advancement for faculty and students?
4. Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
5. Will the business fund scholarships, campus facilities or other academic services or amenities?
6. Will the business and/or its employees contribute to instruction or provide student mentoring?
7. Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

B. Economic Benefit

1. How many net new jobs will be created?
2. Is the business viable in both the short- and long-term?
3. Will the business attract private financial investment?
4. Does the business plan to make capital investments (e.g., renovation, new construction)?
5. Are the new jobs in critical areas of the economy?
6. How will the University financially benefit from the terms of the lease?

C. Community Benefits

1. Does the business have the support of one or more municipal or community entities?
2. Is the business recruiting employees from the local workforce?
3. Does the business invest in underserved, economically distressed regions?
4. Will the business rely on suppliers within the local and regional economy?

The following procedure will be followed at Binghamton University to select companies that may participate in the Start-Up NY program in the Greater Binghamton Area:

1. *Company will discuss with the Office of Entrepreneurship and Innovation Partnerships (E&IP) and the Small Business Development Center (SBDC) eligibility under the statute as well as the fit with the academic mission of the University. Discussions with faculty, staff and students will identify potential sponsors. Company submits application for space in the Start-Up Suite Pre-Incubator and/or for participation in the Start-Up NY program.*
2. *The application(s) will be endorsed or rejected by the Start-Up Committee appointed jointly by the Vice President for Research and the Faculty Senate Executive Committee. The endorsement will be based on a holistic review of academic and research benefits, economic benefits, and community benefits as described in 6A, 6B and 6C above. The Committee consists of nine members, whereof a minimum of six must be faculty and a minimum of two must be staff. Six members are required for a quorum and a minimum of four voting members must be faculty.*
3. *Endorsed applications will be forwarded to the Vice President for Research for review and approval.*
4. *Approved Start-Up NY Business applications will be forwarded to the Binghamton University President for review and approval.*

5. *Approved Start-Up NY Business Application will be submitted by the Binghamton University President to ESD.*

6. *Applications not rejected by ESD within 60 days are considered approved and the application becomes a contract between the University and the company.*

Annually, each company will submit a report on their progress in meeting their business and university engagement goals to be reviewed by the Start-Up Committee. The Committee will make a recommendation to continue, end or modify the relationship with the company. This recommendation will be made to the Vice President for Research and the President for consideration and action.

PUBLIC OFFICERS LAW

§ 74. Code of ethics.

1. Definition. As used in this section: The term "**state agency**" shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the finance law or their successors.

The term "**legislative employee**" shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. Rule with respect to conflicts of interest. No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

3. Standards.

- a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his independence of judgment in the exercise of his official duties.
- b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him to disclose confidential information which he by reason of his official position or authority.
- c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him in the course of his official duties nor use such information to further his personal interests.
- d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.
- e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.
- f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

- g. An officer or employee of a state agency should abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.
- h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.
- i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.

4. Violations. In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.


 <p>Category: HR / Labor Relations Legal and Compliance</p> <p>Responsible Office: University Counsel</p>	<p>Policy Title: Conflict of Interest Document Number: 6001</p> <p>Effective Date: October 01, 1995</p> <p>This policy item applies to: State-Operated Campuses</p>
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Summary

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests and are required to avoid conflicts of interest. Where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by University policy. This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

Policy

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests. In keeping with this obligation, they are also required to avoid conflicts of interest.

In instances where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by this University policy. It is the responsibility of campus officials charged with implementing this policy to identify potential or actual conflicts of interest and take appropriate steps to manage, reduce, or eliminate them.

This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

1. University faculty and staff may not engage in other employment which interferes with the performance of their professional obligation.
2. University faculty and staff are expected to comply with the New York State Public Officers Law provisions on conflict of interest and ethical conduct.
3. University faculty and staff, to the extent required by law or regulation, shall disclose at minimum whether they (and their spouses and dependent children) have employment or financial interests or hold significant offices, in external organizations that may affect, or appear to affect, the discharge of professional obligations to the University.
4. University campuses shall ensure that all faculty and staff subject to pertinent laws and regulations disclose financial interests in accordance with procedures to be established by the Chancellor or designee. Campuses shall retain the reported information as required, identify actual or apparent conflicts of interest and seek resolution of such conflicts.
5. Each campus president shall submit to the chancellor's designee the name and title of the person or persons designated as financial disclosure designee(s) and shall further notify the chancellor's designee when a change in that assignment occurs. The chancellor's designee shall also be notified of any reports regarding conflict of interest that are forwarded to state or federal agencies.

Definitions

Conflict of interest — any interest, financial or otherwise, direct or indirect; participation in any business, transaction or professional activity; or incurring of any obligation of any nature, which is or appears to be in substantial conflict with the proper discharge of an employee's duties in the 'public interest. A conflict of interest is also any financial interest that will, or may be reasonably expected to, bias the design, conduct or reporting of sponsored research.

Other Related Information

[Outside Activities of University Policy Makers](#)

[Ethics in State Government - A Guide for New York State Employees](#)

[National Science Foundation, Grant Policy Manual](#)

Procedures

There are no procedures relevant to this policy.

Forms

There are no forms relevant to this policy.

Authority

[42 CFR 50, Subpart F](#)

The following link to FindLaw's [New York State Laws](#) is provided for users' convenience; it is not the official site for the State of New York laws.

[NYS Public Officers Law, Section 73-a, and 73 and 74](#)

In case of questions, readers are advised to refer to the New York State Legislature site for the menu of [New York State Consolidated](#).

[Board of Trustees Policies - Appointment of Employees \(8 NYCRR Part 335\)](#)

State University of New York Board of Trustees Resolution adopted June 27, 1995

History

Memorandum to presidents from the office of the University provost, dated June 30, 1995 regarding revision to University conflict of interest policy to bring it in conformity with federal guidelines issued by the National Science Foundation and the Public Health Service.

Appendices

There are no appendices relevant to this policy.



Category:
Academic Affairs
Community Colleges
Legal and Compliance
Research

Responsible Office:
[Academic Affairs](#)

Policy Title:
START-UP NY Program Participation Policy

Document Number:
6800

Effective Date:
February 10, 2014

This policy item applies to:
Community Colleges
State-Operated Campuses

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Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21st century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

Policy

- A. **Campus Plans for Designation of Tax-Free Area(s):** Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor

or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

- B. Sponsoring University or College Applications for Business Participation:** Any campus intending to submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Sponsor Applications within thirty (30) business days of receipt. Any rejected Sponsor Application shall be accompanied by an explanation of the basis for rejection. Once approved the campus may submit the Sponsor Application to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Sponsor Application that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Sponsor Applications must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.
- C. Delegations:** The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.
- D. Conflicts of Interest:** Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 31st of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.
- E. Exceptions:** There are no exceptions to this policy.

Definitions

Business Interest means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

Official means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

Relative means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

Sponsoring College or University means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

START-UP NY Program means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article

21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

Other Related Information

[Start-Up NY Regulations](#); available at the [Start-Up NY Website](#).

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

[StartUp-NY.gov](#) website and program information.

Procedures

[START-UP NY Program Participation, Procedures for](#)

Forms

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Memorandum](#)

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Template](#)

[START-UP NY Sponsoring University or College Application for Business Participation Memorandum](#)

[ESD START-UP NY Sponsoring University or College Application for Business Participation](#)

[ESD START-UP NY Business Application Instructions](#)

[ESD START-UP Business Application](#)

Authority

[State University of New York Board of Trustees Resolution 14-\(\) . START-UP New York Program Administration, adopted January 14, 2014](#)

[Law, New York Economic Development Law Article 21 \(Start-Up NY Program\)](#)

[Start-Up NY Regulations](#)

History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

Appendices

There are no appendices relevant to this policy.

BINGHAMTON UNIVERSITY

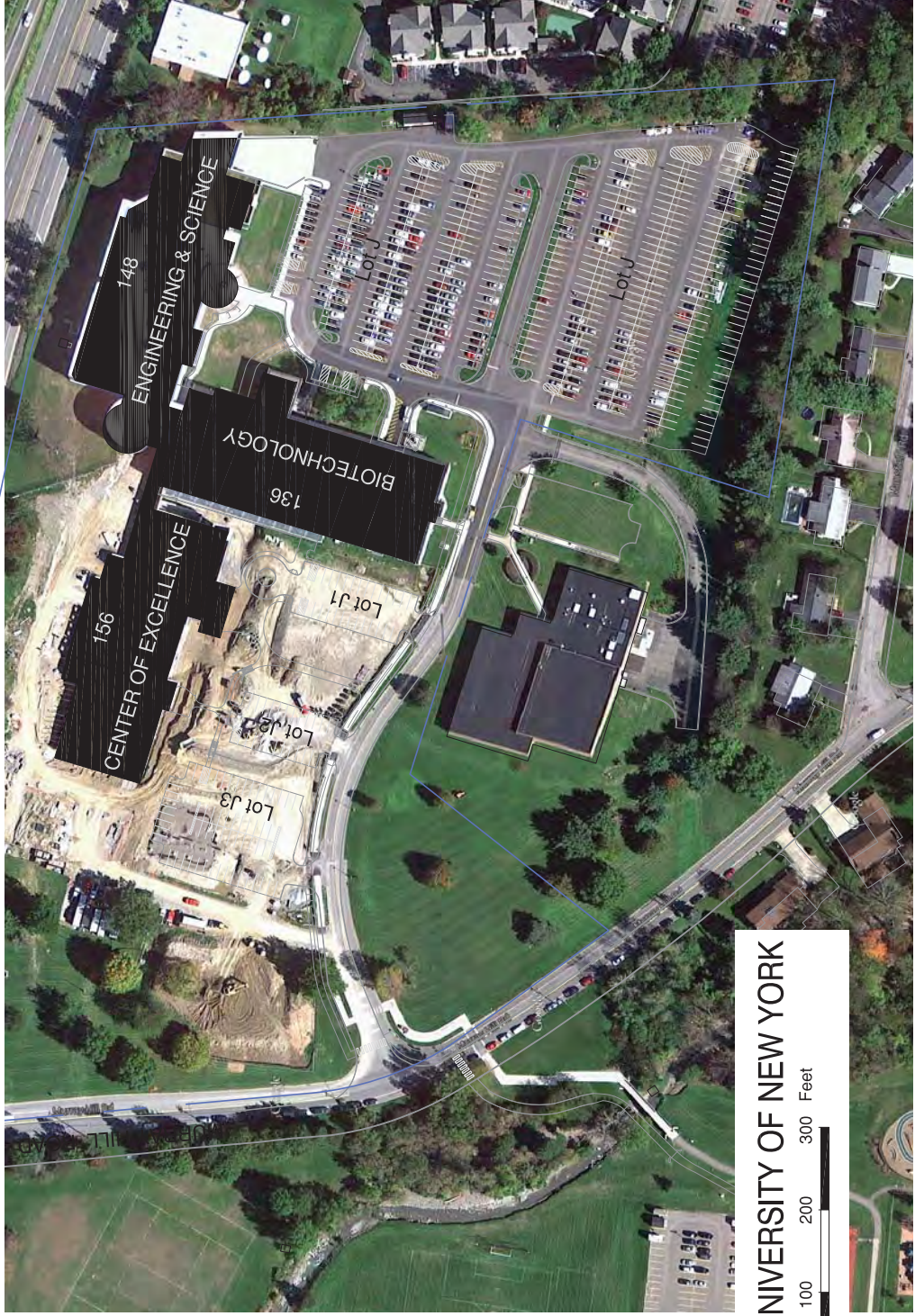
STARTUP NY- MAIN CAMPUS MAP

- A) SU-107-1-B-0136-000-A (BIOTECHNOLOGY BUILDING)
- B) SU-107-1-B-0148-000-A (ENGINEERING & SCIENCES BUILDING)
- C) SU-107-1-B-0156-000-A (CENTER OF EXCELLENCE BUILDING)



BINGHAMTON UNIVERSITY
START-UP SUITE - 85 MURRAY HILL RD, VESTAL, NY 13850

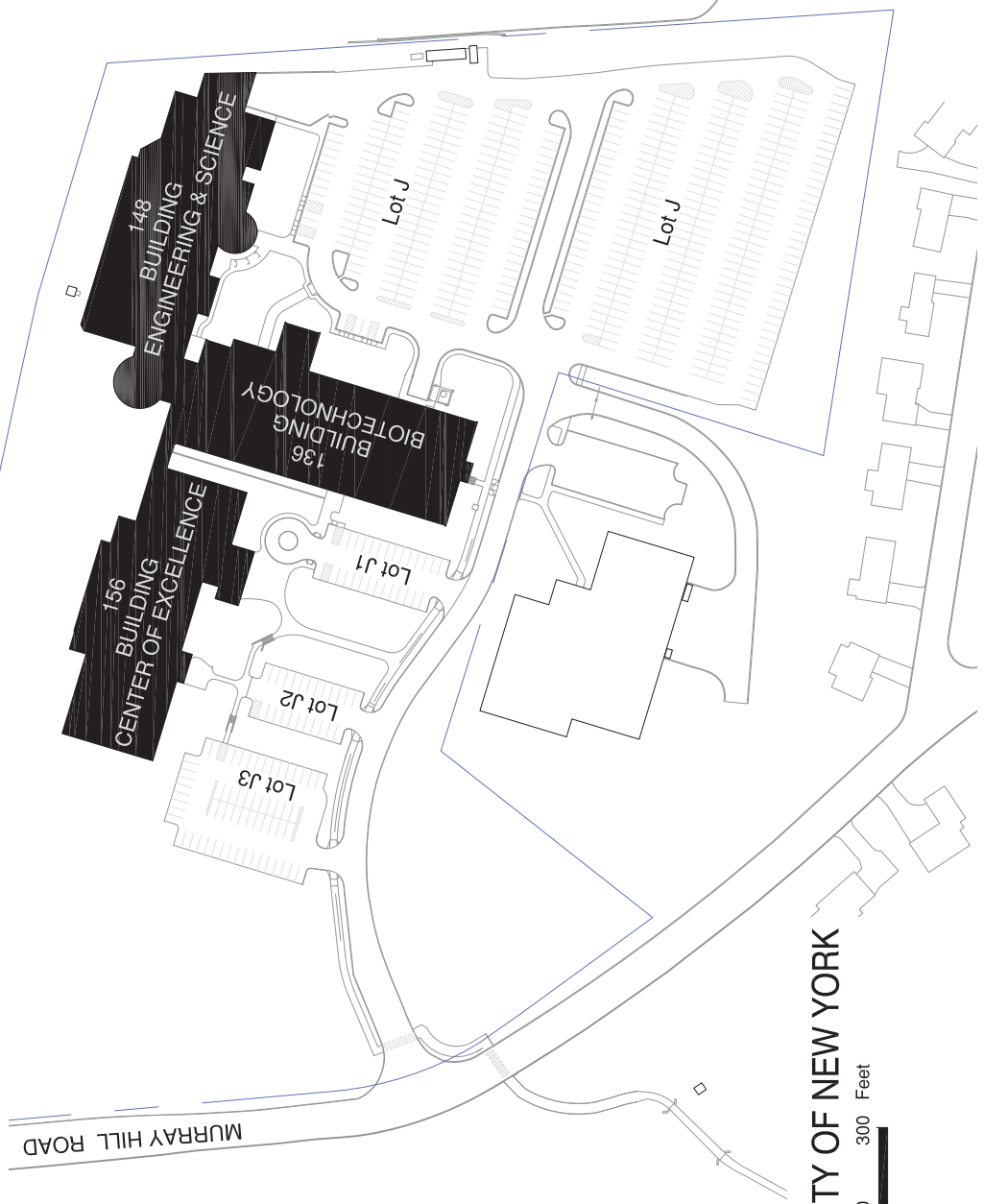
- SU-107-1-B-0136-000-A (BIOTECHNOLOGY BUILDING)
- SU-107-1-B-0148-000-A (ENGINEERING & SCIENCES BUILDING)
- SU-107-1-B-0156-000-A (CENTER OF EXCELLENCE BUILDING)



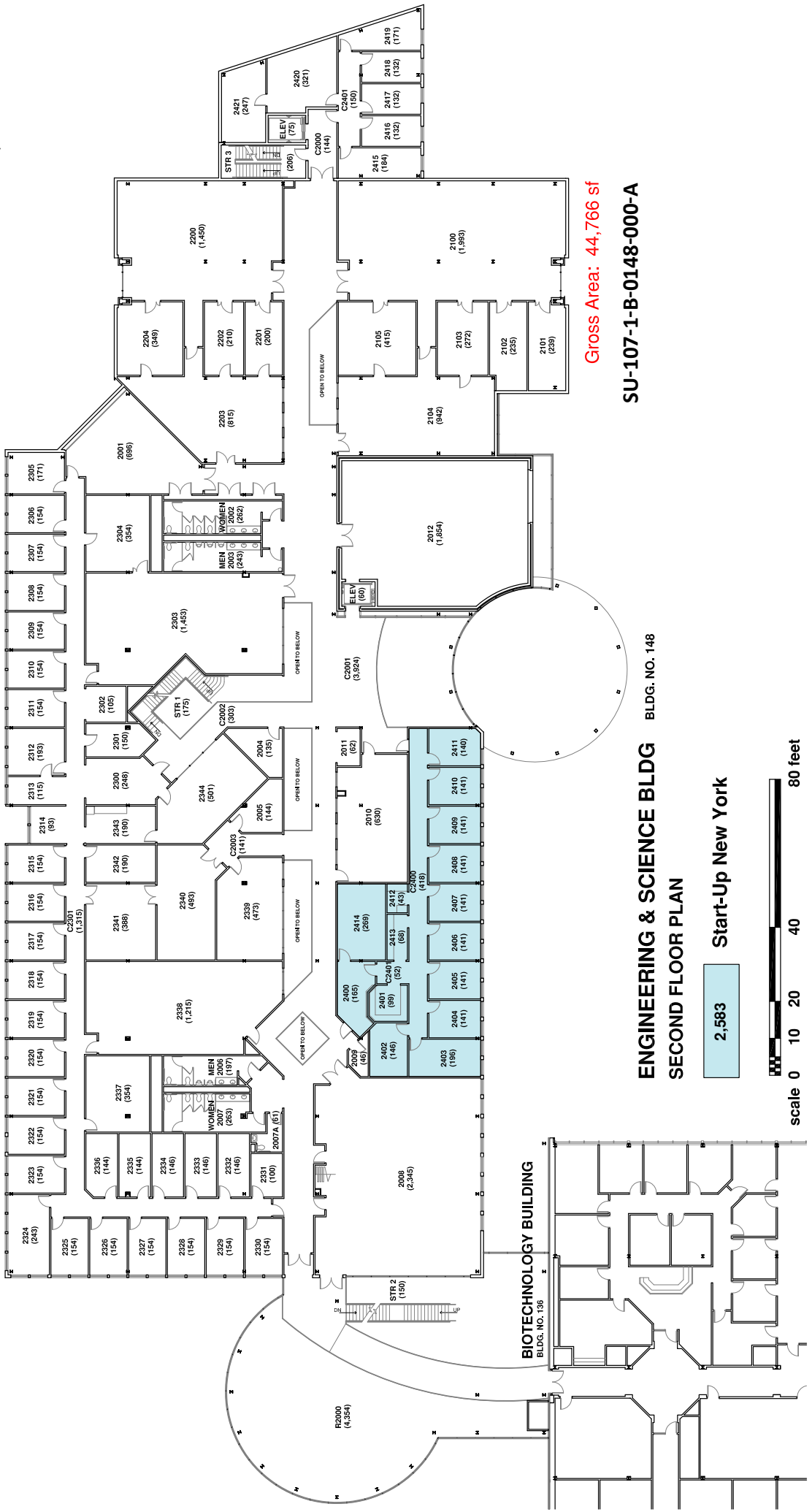
STATE UNIVERSITY OF NEW YORK
Scale 0 100 200 300 Feet

BINGHAMTON UNIVERSITY
START-UP SUITE- 85 MURRAY HILL RD, VESTAL, NY 13850

- SU-107-1-B-0136-000-A (BIOTECHNOLOGY BUILDING)
- SU-107-1-B-0148-000-A (ENGINEERING & SCIENCES BUILDING)
- SU-107-1-B-0156-000-A (CENTER OF EXCELLENCE BUILDING)



STATE UNIVERSITY OF NEW YORK
Scale 0 100 200 300 Feet



Gross Area: 44,766 sf

SU-107-1-B-0148-000-A

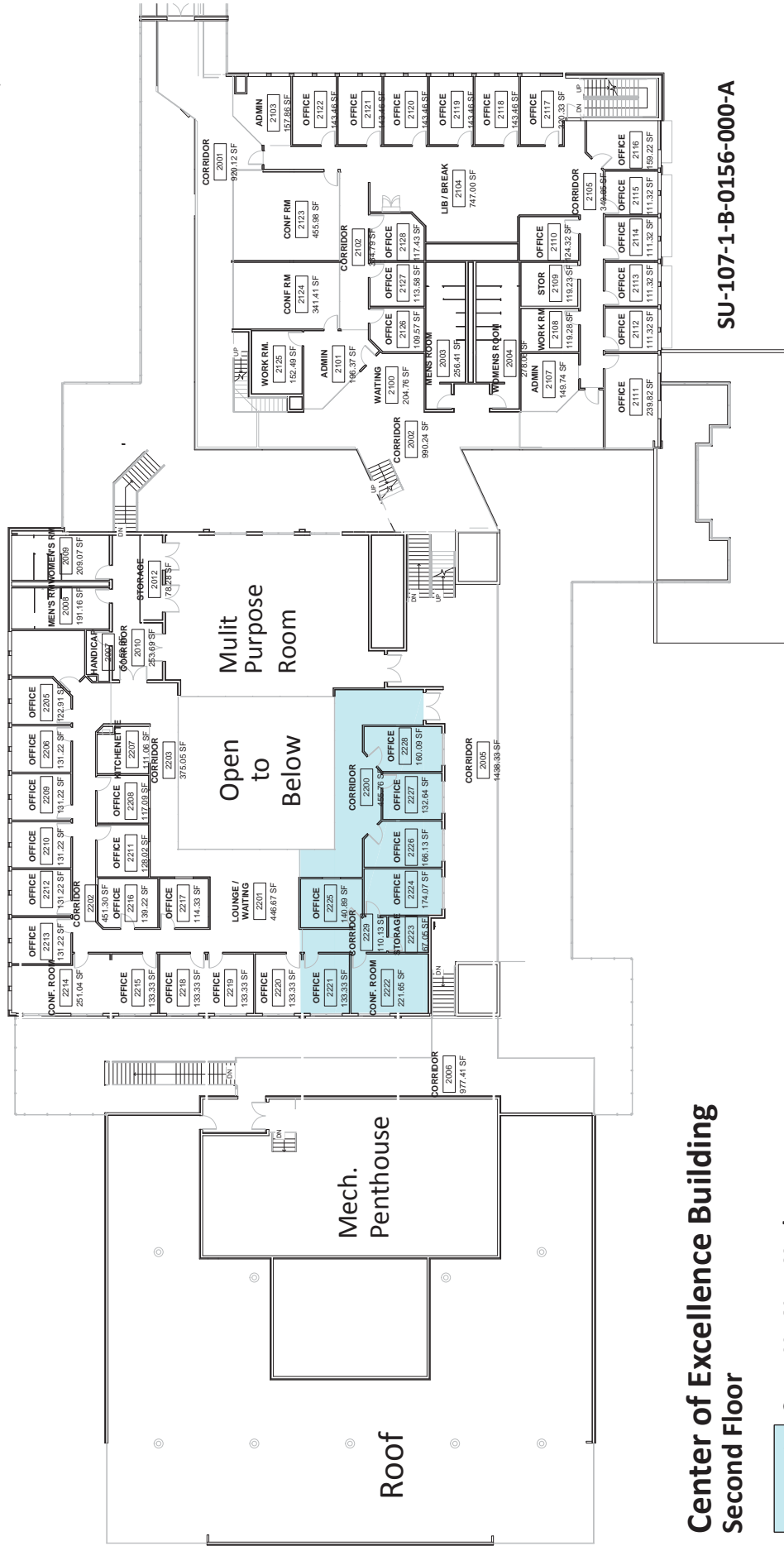
ENGINEERING & SCIENCE BLDG BLDG. NO. 148

SECOND FLOOR PLAN

2,583

Start-Up New York



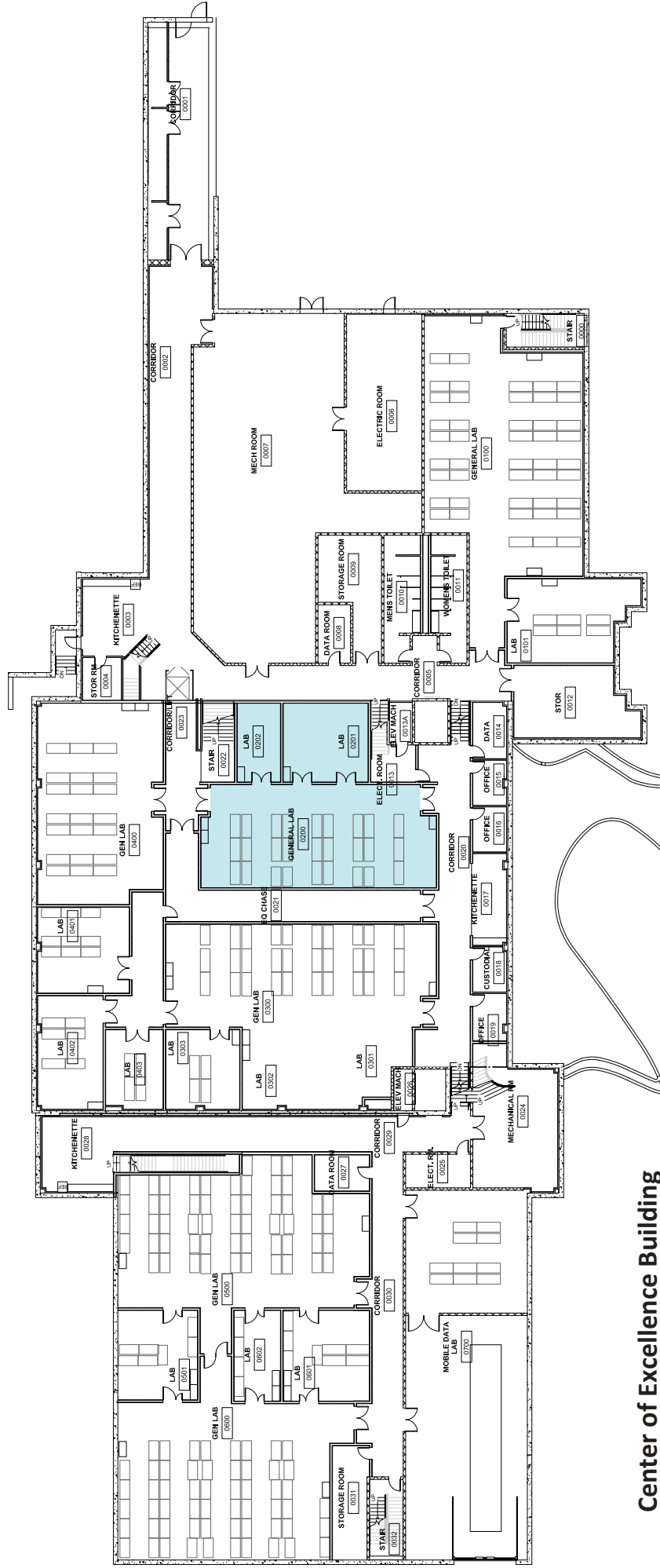


SU-107-1-B-0156-000-A

**Center of Excellence Building
Second Floor**

1,762 sf Start-Up New York



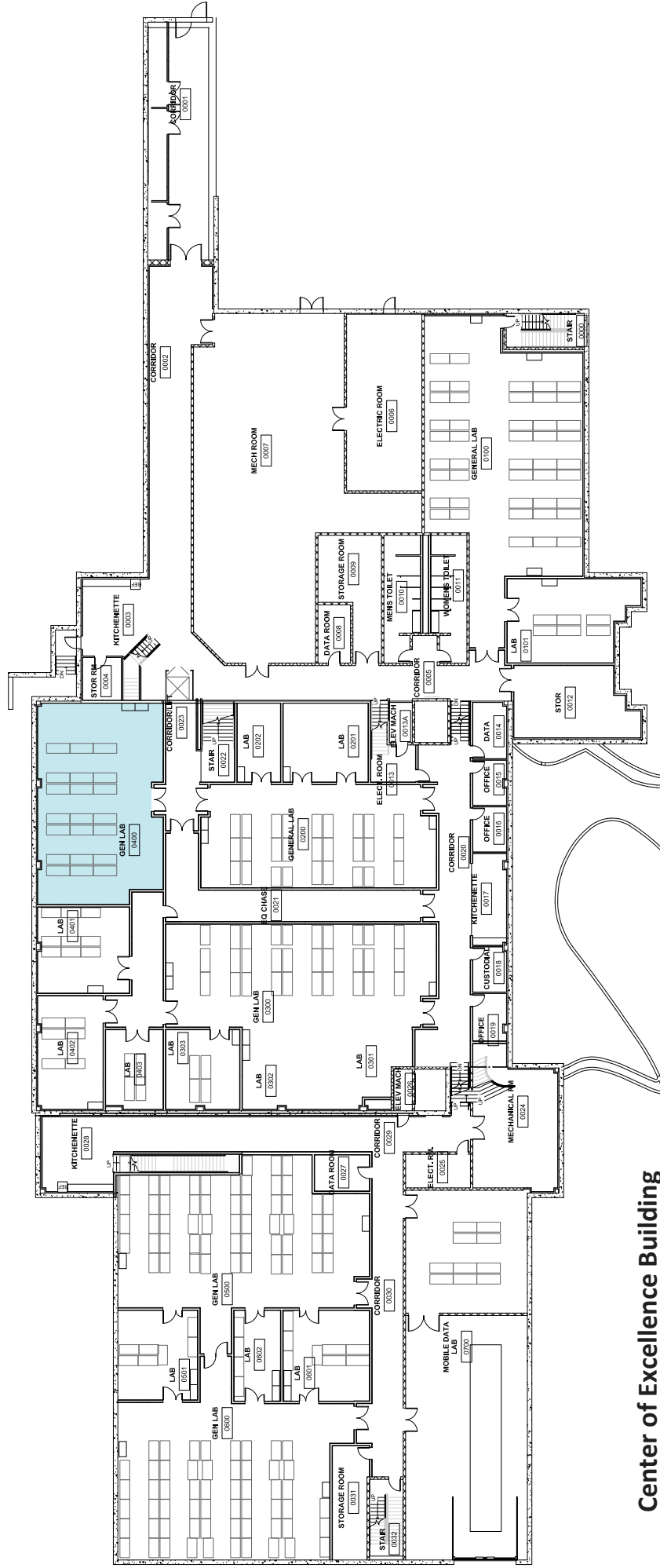


**Center of Excellence Building
Basement Floor**

2,217 sf Start-Up New York



SU-107-1-B-0156-001-A



**Center of Excellence Building
Basement Floor**

1,608 sf Start-Up New York



SU-107-1-B-0156-002-A

BINGHAMTON UNIVERSITY

START-UP NY: BINGHAMTON CAMPUS MAP

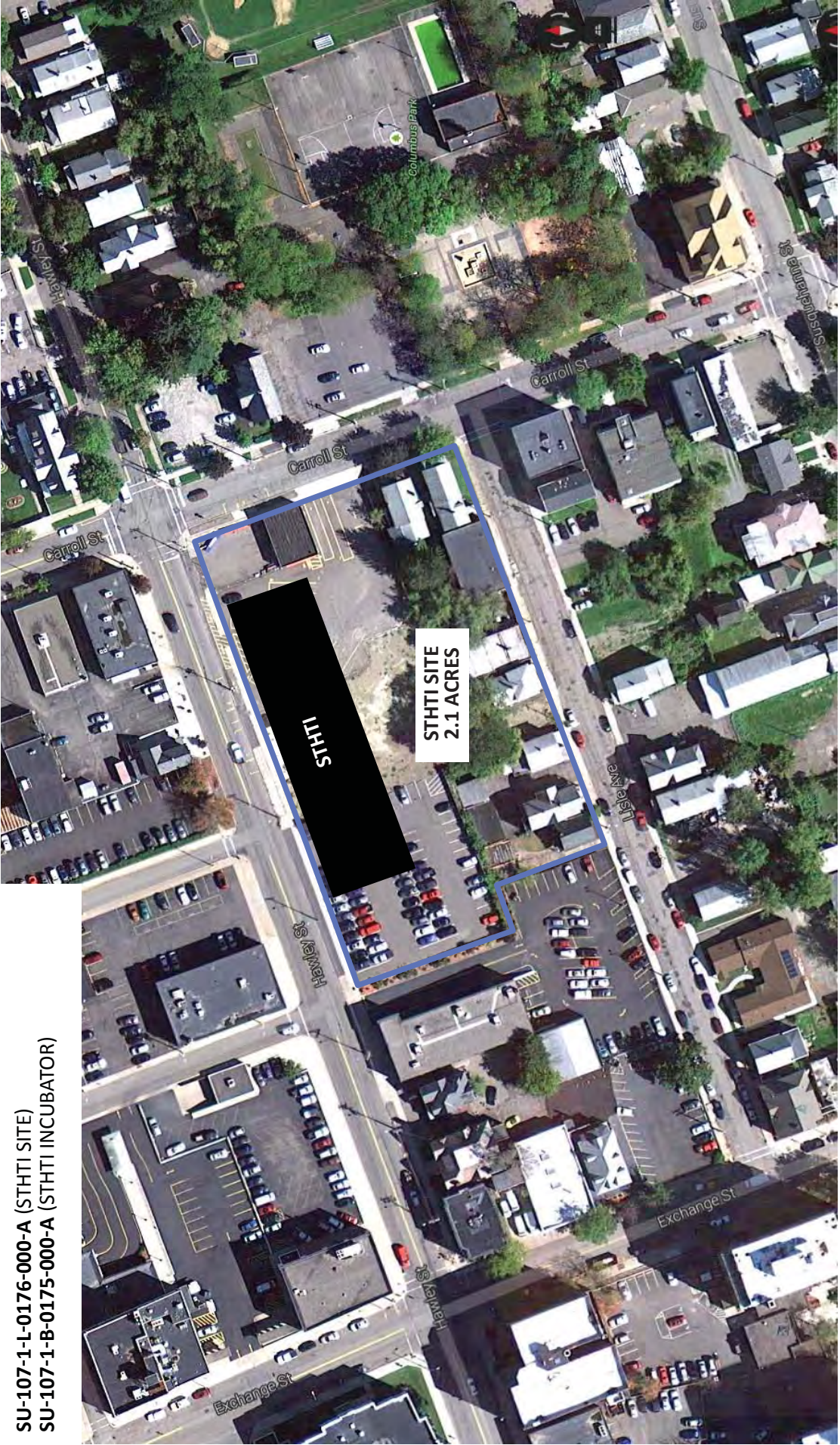
- D) SU-107-1-L-0176-000-A (STHTI SITE) and SU-107-1-B-0175-000-A (STHTI)
- E) UNIVERSITY DOWNTOWN CENTER
- F) SU-107-1-B-1002-000-A (BC IDA INCUBATOR: "THE CENTER")

- G) SU-107-1-B-1001-000-A ("VMR BUILDING")
- H) BINGHAMTON UNIVERSITY SMALL BUSINESS DEVELOPMENT CENTER



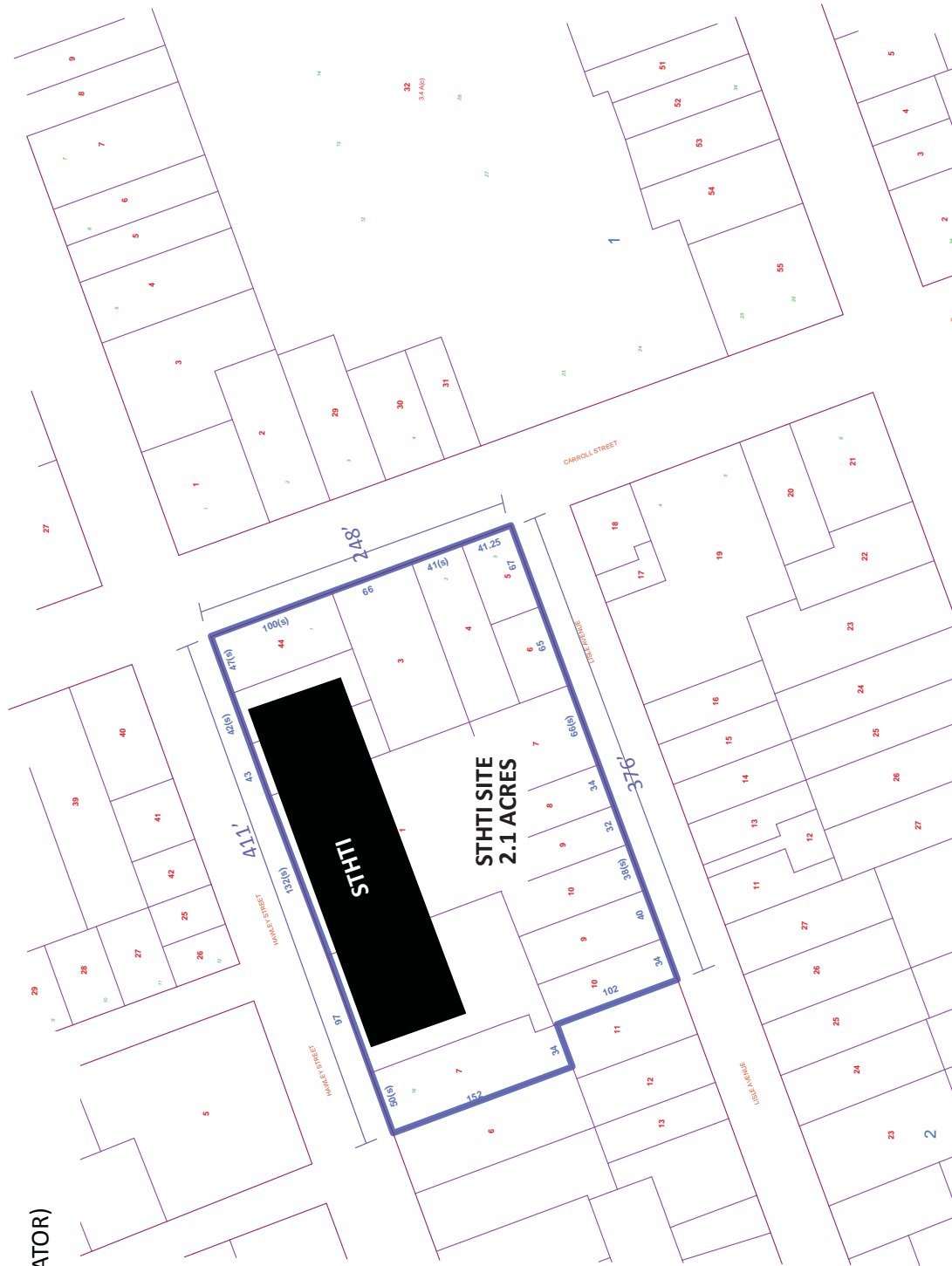
BINGHAMTON UNIVERSITY
110-124 HAWLEY STREET, BINGHAMTON, NY 13902

SU-107-1-L-0176-000-A (STHTI SITE)
SU-107-1-B-0175-000-A (STHTI INCUBATOR)



BINGHAMTON UNIVERSITY
110-124 HAWLEY STREET, BINGHAMTON, NY 13902

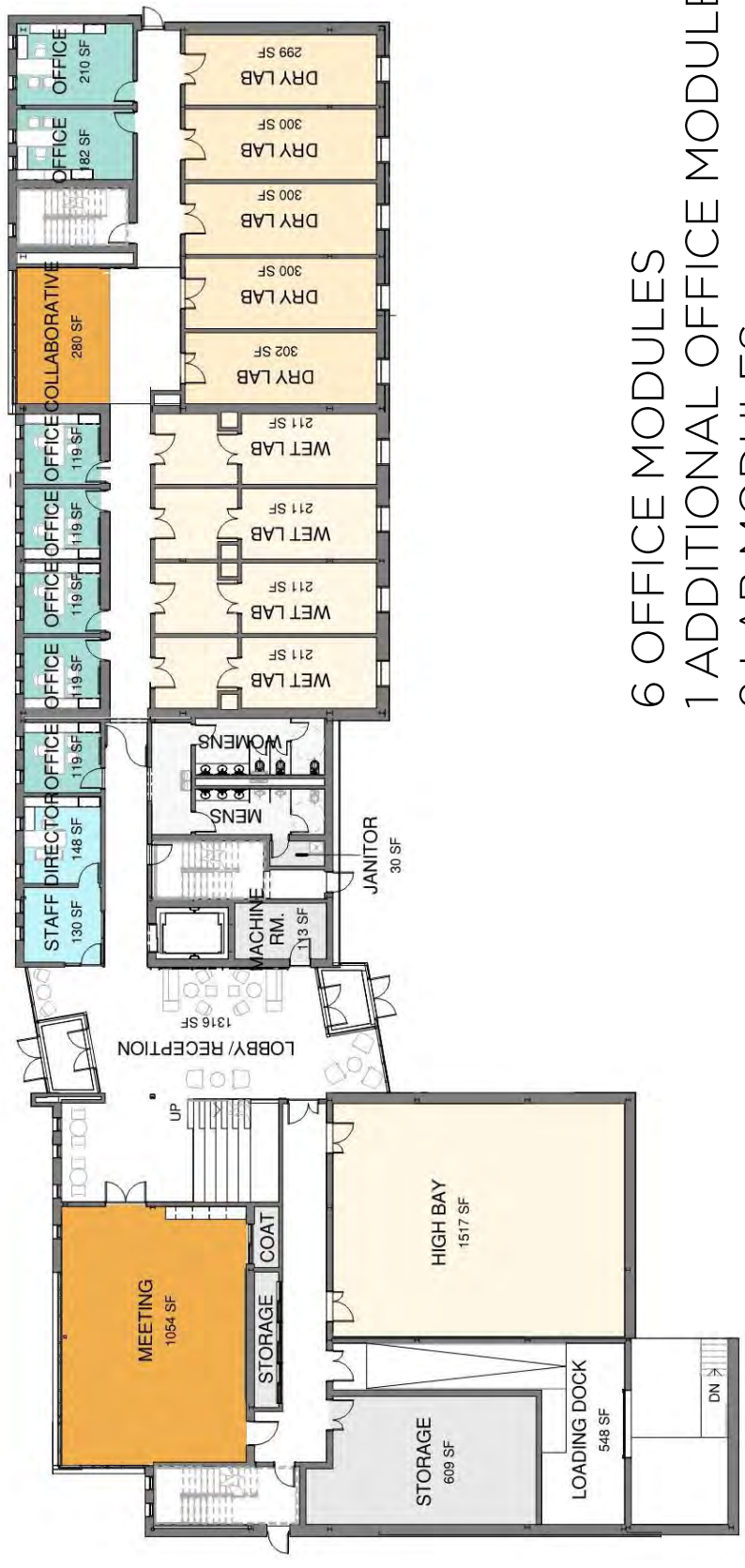
SU-107-1-L-0176-000-A (STHTI SITE)
SU-107-1-B-0175-000-A (STHTI INCUBATOR)



FLOOR PLAN

FIRST FLOOR

BINGHAMTON UNIVERSITY
 110-124 HAWLEY STREET, BINGHAMTON, NY 13901
 SU-107-1-B-0175-000-A (STHTI INCUBATOR)
 34,064 sq. ft.



- 6 OFFICE MODULES
- 1 ADDITIONAL OFFICE MODULE
- 9 LAB MODULES
- HIGH BAY SPACE



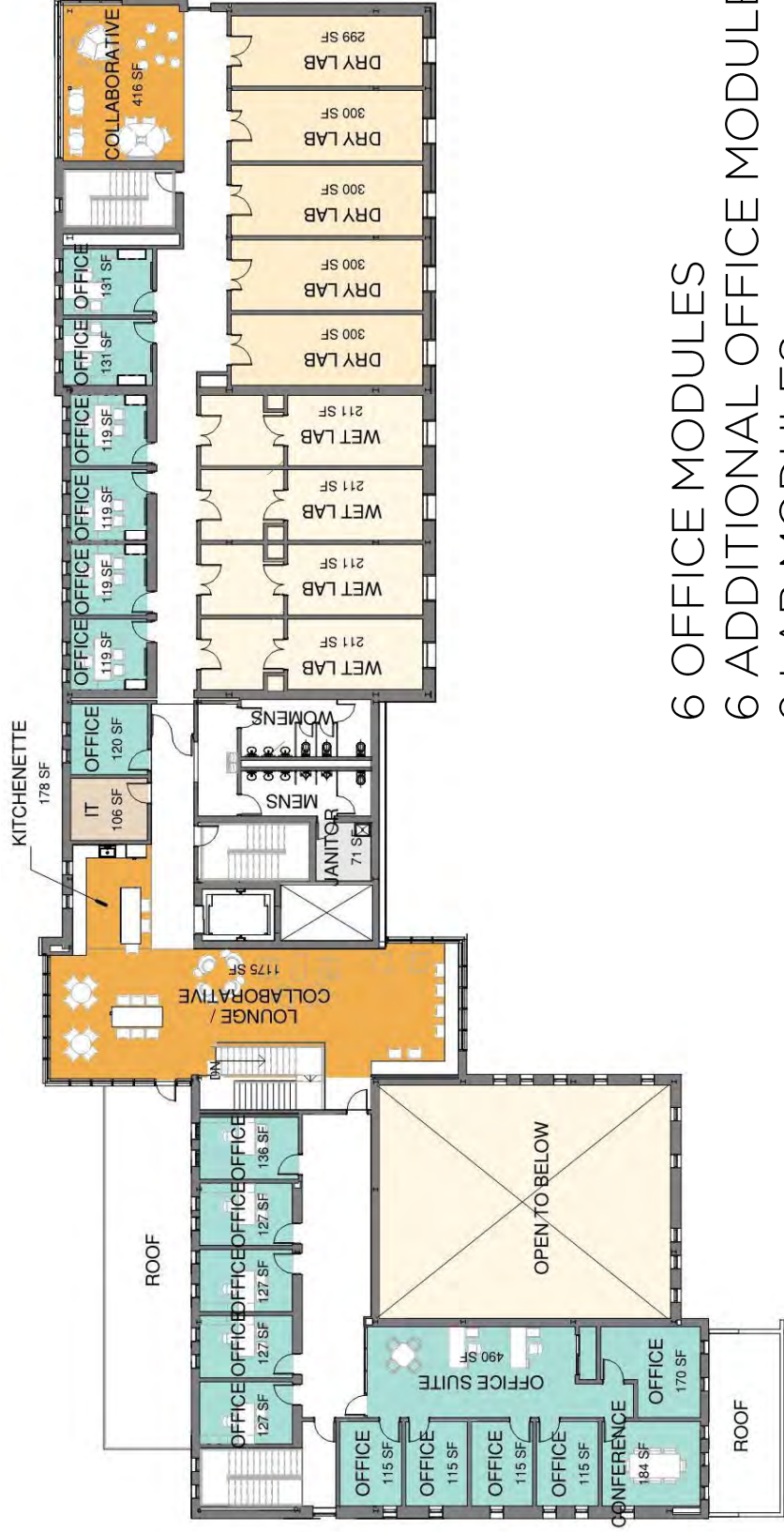
ASHLEY MCGRAW

SOUTHERN TIER HIGH TECH INCUBATOR

FLOOR PLAN

SECOND FLOOR

BINGHAMTON UNIVERSITY
 110-124 HAWLEY STREET, BINGHAMTON, NY 13901
 SU-107-1-B-0175-000-A (STHTI INCUBATOR)
 34,064 sq. ft.



- 6 OFFICE MODULES
- 6 ADDITIONAL OFFICE MODULES
- 9 LAB MODULES
- OFFICE SUITE

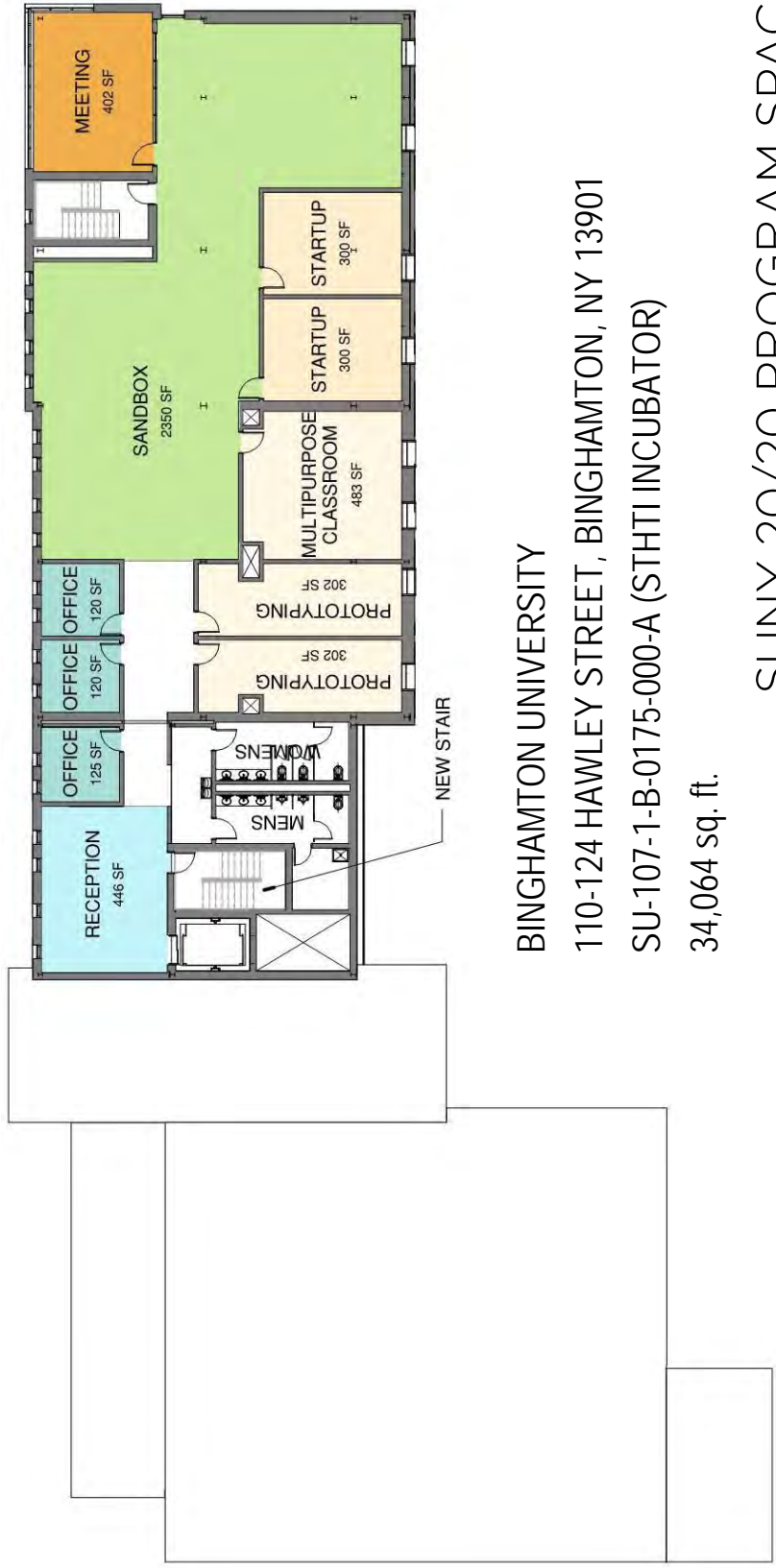


ASHLEY MCGRAW

SOUTHERN TIER HIGH TECH INCUBATOR

SCHEMATIC FLOOR PLAN

THIRD FLOOR | SUNY 20/20



BINGHAMTON UNIVERSITY
 110-124 HAWLEY STREET, BINGHAMTON, NY 13901
 SU-107-1-B-0175-000-A (STHTI INCUBATOR)
 34,064 sq. ft.

SUNY 20/20 PROGRAM SPACE
 1 ADDITIONAL OFFICE MODULE



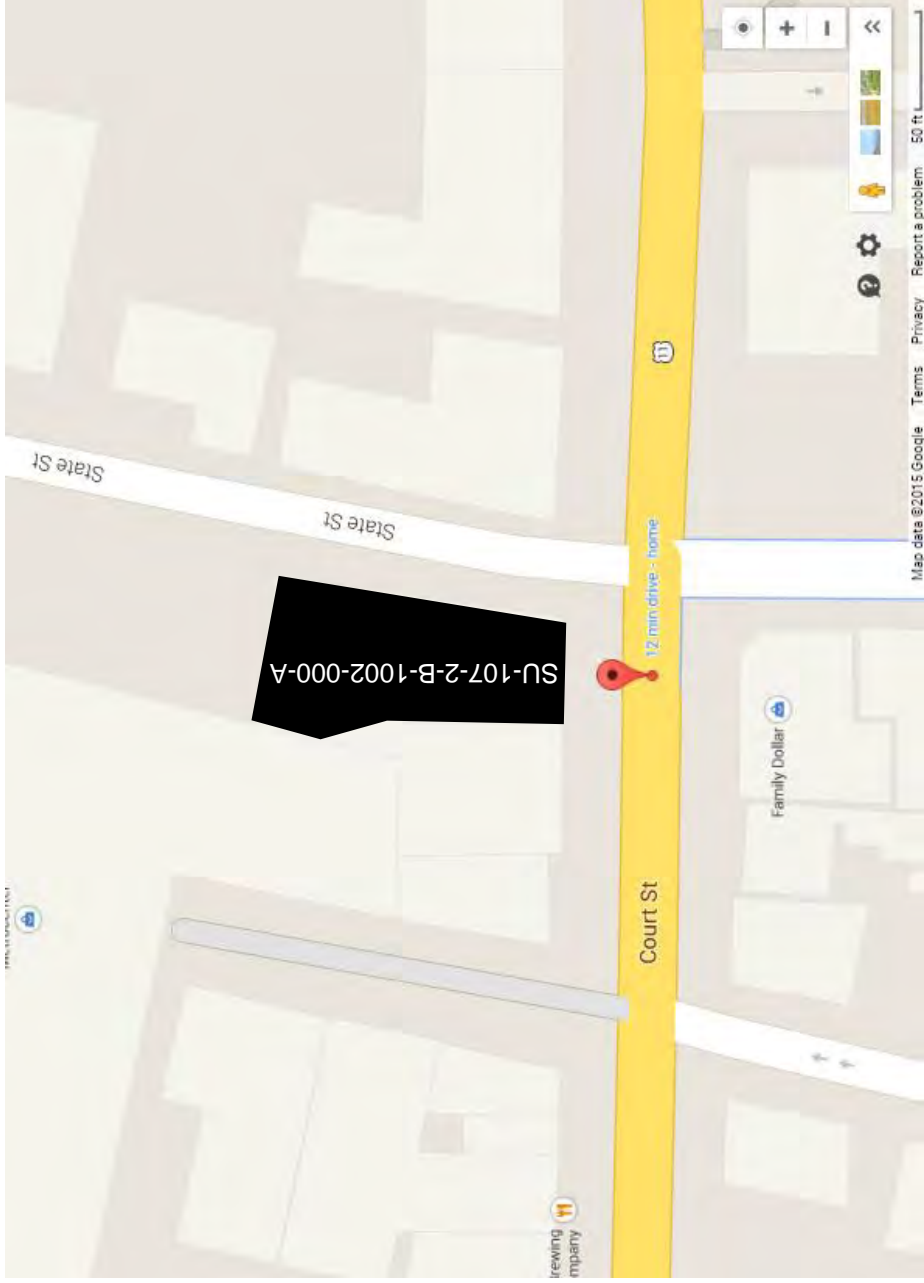
ASHLEY MCGRAW

SOUTHERN TIER HIGH TECH INCUBATOR

BCIDA Incubator
59-61 Court Street, Binghamton, NY 13901

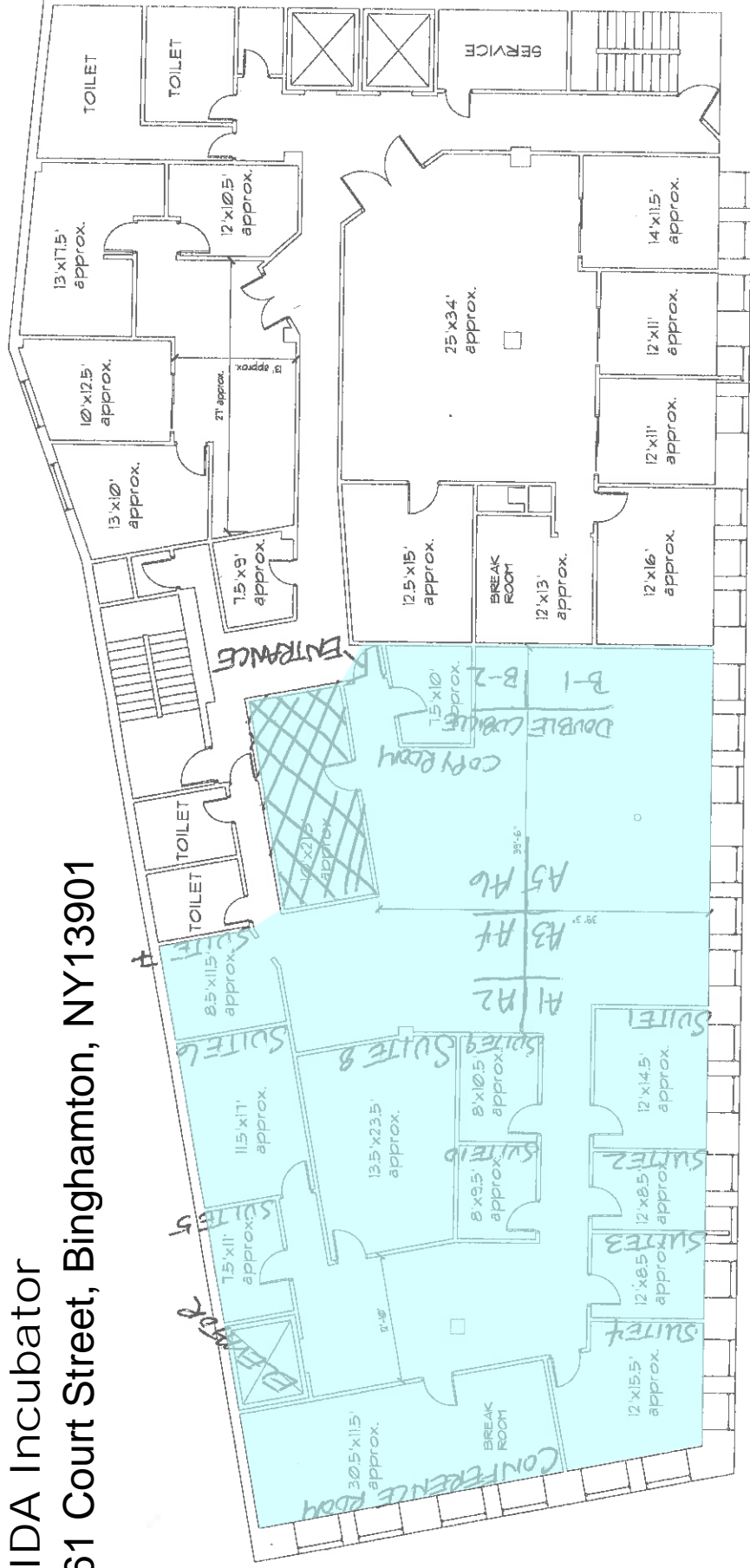


BCIDA Incubator
59-61 Court Street, Binghamton, NY 13901



BCIDA Incubator
 59-61 Court Street, Binghamton, NY 13901

ATTACHMENT 1, PAGE 17



SECOND FLOOR

THE CENTER
 (BCIDA)
 59-61 COURT ST.

4,300 sf

Start-Up New York

SU-107-2-B-1002-000-A second floor

	59-SIXTYONE LLC 59 COURT STREET RENOVATION EXISTING CONDITION LAYOUT PROJECT		PROJECT NUMBER CT A202
	DATE 02/26/13	DRAWN DPN	
59-SIXTYONE LLC 100 STATE DRIVE WINDY HILL LANE			2ND FLOOR PLAN

BINGHAMTON UNIVERSITY

START-UP NY: BINGHAMTON CAMPUS MAP

G) SU-107-1-B-1001-000-A ("VMR BUILDING"): 100 ELDREDGE STREET, BINGHAMTON, NY-13901



BINGHAMTON UNIVERSITY

START-UP NY: BINGHAMTON CAMPUS MAP

G) SU-107-1-B-1001-000-A ("VMR BUILDING"): 100 ELDREDGE STREET, BINGHAMTON, NY-13901



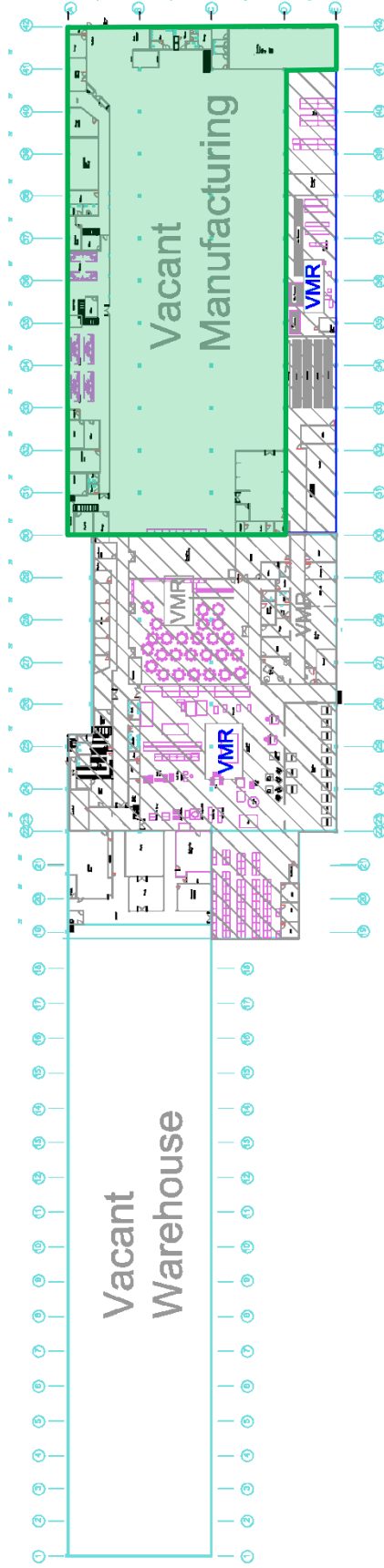
BINGHAMTON UNIVERSITY

START-UP NY: BINGHAMTON CAMPUS MAP

G) SU-107-1-B-1001-000-A ("VMR BUILDING"): 100 ELDRIDGE STREET, BINGHAMTON, NY-13901

52,000 sq. ft.

START-UP NEW YORK



Sponsor	Location	UniqueID	16V Incubator	Owner	Property Type*	StreetAddress	City	Zip Code	Parcel ID	Building	Space Type**	SqFt	Acres	Description	on Campus	Within 1 mile Of Campus	More than 1 mile off campus (requires waiver)	Latitude	Longitude	Note	County
SUNY Binghamton	Vestal	SU-107-1-B-0136-000-A	Yes	Binghamton University	1	85 Murray Hill Rd	Vestal	13850	136	Biotechnology Building 136	C	3,151	2113	Rooms 2100-2113	Yes	No	No	42.0938740	-75.9591970		Broome
SUNY Binghamton	Vestal	SU-107-1-B-0148-000-A	Yes	Binghamton University	1	85 Murray Hill Rd	Vestal	13850		Engineering & Science Building 148	C	2,583	2413	Rooms 2400-2413	Yes	No	No	42.0943410	-75.9583710		Broome
SUNY Binghamton	Vestal	SU-107-1-B-0156-000-A	Yes	Binghamton University	1	85 Murray Hill Rd	Vestal	13850		Center of Excellence Building 156	C	1,762	2229	Rooms 2221-2229	Yes	No	No	42.0944310	-75.9598750		Broome
SUNY Binghamton	Vestal	SU-107-1-B-0156-001-A	Yes	Binghamton University	1	85 Murray Hill Rd	Vestal	13850		Center of Excellence Building 156	C	1,608		Room 0400	Yes	No	No	42.0944310	-75.9598750		Broome
SUNY Binghamton	Vestal	SU-107-1-B-0156-002-A	Yes	Binghamton University	1	85 Murray Hill Rd	Vestal	13850		Center of Excellence Building 156	C	2,217		Rooms 0200, 0201, 0202	Yes	No	No	42.0944310	-75.9598750		Broome
SUNY Binghamton	Binghamton	SU-107-1-L-0176-000-A	Yes	the Agency	1	110-124 Hawley St	Binghamton	13901	n/a		D		2.1	Land	Yes	No	No	42.0976690	-75.9079480		Broome
SUNY Binghamton	Binghamton	SU-107-1-B-0175-000-A	Yes	STHTI, Inc	1	110-124 Hawley St	Binghamton	13901		Southern Tier High Technology Incubator	A	34,064		Incubator	Yes	No	No	42.0976690	-75.9079480		Broome
SUNY Binghamton	Binghamton	SU-107-1-B-1001-000-A	No	59-Sixtyone, LLC	1	100 Eldredge St	Binghamton	13901		Manufacturing space	C	52,000		Part of second floor	Yes	No	No	42.1050000	-75.9043000		Broome
SUNY Binghamton	Binghamton	SU-107-1-B-1002-000-A	Yes	59-Sixtyone, LLC	1	59-61 Court St	Binghamton	13901		59-61 Court St.	C	4,300			Yes	No	No	42.0987120	-75.9125910		Broome

* 1= on campus
2= 1 mile off campus
3= State Asset

** A=entire building
B=floor within building
C=Room within building
D=land on campus
E= land off campus
F=entire building off campus
G=partial building off campus
H=state asset

BINGHAMTON UNIVERSITY START-UP NY CAMPUS PLAN AMENDMENT 2

Campus Name: **Binghamton University, State University of New York**
Campus Contact Name: **Per Stromhaug**
Campus Contact Title: **Assistant Vice President**
Campus Contact E-mail: **per@binghamton.edu**
Campus Contact Phone: **607-777-5873**

ADDITION/REMOVAL OF SPACE:

Campus space addition (language added to the plan underlined):

1. 100 Eldredge Street, Binghamton, NY-13901: 52,000 sq. ft. manufacturing space (SU-107-1-B-1001-000-A).

Off-campus space addition (language added to the plan underlined): None

Removal of space from program: None

GENERAL CHANGES IN CAMPUS PLAN (language added to the plan underlined):

Section 1i: Description of space added (as underlined above)

Section 2: Amount of space adjusted with the additions to 101,685 sq. ft.

Section 2a: 1901 Vestal Parkway, Vestal, NY-13850 (25,000 sq. ft. office complex)

Section 2a: 157 Oakdale Rd, Johnson City, NY-13790 (25,000 sq. ft. office complex under construction)