

JOHN WILLIAM CHAFFEE

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Brief Curriculum Vitae

EDUCATION:

University of Chicago, Far Eastern Languages and Civilizations, M.A. (1973), Ph.D. (1979)
Inter-University Center for Chinese Language Study, Taipei, Taiwan (1971-72).
Swarthmore College, B.A. (1970).

POSITION:

SUNY Distinguished Service Professor of History and Asian and Asian American Studies,
Binghamton University, State University of New York, 2008-present.
Director, Institute for Asia and Asian Diasporas, 2007-present.

HONORS and AWARDS:

- Ronald G. Knapp Award for Distinguished Service to Asian Studies in NY, given by the New York Conference on Asian Studies, 2017.
- University Award for Excellence in International Education, Binghamton University, 2004.
- Exemplary Contributions to Research Award, State University of New York, 2002.
- Grant from the Freeman Foundation for the development of the Asian and Asian American Studies Program, 2002-2006. \$1.75 million.

BOOKS:

The Muslim Merchants of Premodern China: The Social History of a Trade Diaspora, 750-1400.
Cambridge: Cambridge University Press, 2018.

The Cambridge History of China, Volume 5, Part 2: The Five Dynasties and Sung China, 960-1279,
co-edited with Denis Twitchett. Cambridge and New York: Cambridge University Press, 2015.

- "Introduction: Reflections on the Sung," pp. 1-18.
- "Sung Education: Schools, Academies and Examinations," pp. 296-320.

The Branches of Heaven: a History of the Sung Imperial Clan. Cambridge: Harvard University Asian Center, 1999.

- Chinese translation: *Tianheng guizhou: Songdai zongshi shi*, Zhao Dongmei, trans. (Nanjing: Jiangsu renmin chubanshe, 2005).

Neo-Confucian Education: The Formative Stage, coedited with Wm. Theodore de Bary. Berkeley: University of California Press, 1989. Within the volume: "Chu Hsi in Nan-k'ang: *Tao-hsueh* and the Politics of Education," and the Introduction coauthored with Professor de Bary.

The Thorny Gates of Learning in Sung China: A Social History of Examinations. Cambridge: Cambridge University Press, 1985. *Second Edition* with a new preface. Albany: State University of New York Press, 1995.

- Chinese translation: *Songdai keju* (The Examinations of the Sung Dynasty). Taipei: San Min Book Company, 1995.
- Korean translation: *Paeumui Kasipat'kil: Songtae Chungkukinui Kwak saenghwol*, Chong Kuk Yang, trans. Seoul, 2000.

ARTICLES AND BOOK CHAPTERS:

- “Pu Shougeng Reconsidered: Pu, His Family, and their Role in the Maritime Trade of Quanzhou,” in Robert J. Antony and Angela Schottenhammer, eds., *Beyond the Silk Roads: New Discourses on China's Role in East Asian Maritime History* (Wiesbaden: Harrassowitz Verlag, 2017), 63-76.
- “Cultural Transmission by Sea: Maritime Trade Routes in Yuan China,” *Eurasian Influences on Yuan China: Cross-Cultural Transmissions in the 13th and 14th Centuries*, edited by Morris Rossabi. Singapore: Nalanda Srivijaya Centre, 2013, 41-59.
- “Middle Period—China” *Oxford Bibliographies in Chinese Studies*, Tim Wright, ed. Oxford University Press, 2012.
- “Song China and the multi-state and commercial world of East Asia,” *Crossroads: Studies on the History of Exchange Relations in the East Asian World* 1 (2010): 33-54.
- “Sōdai ni okeru suiren chōsei (kōgō sesshō)” (Ruling behind the screen in the Song – empress regencies – power, authority and the feminine), in Takatsu Kō, *Chūgokugaku no pasupekutei: kakyo, shuppanshi, genda* (Perspectives in Sinological studies: examinations, history of printing, and gender) (Tokyo: Bensai Publishers, 2010), 71-82.
- “Sōdai sōshitsu no seijiteki shakaiteki henyō” (The political and social evolution of the Song imperial clan), Takatsu Kō, *Chūgokugaku no pasupekutei: kakyo, shuppanshi, genda* (Perspectives in Sinological studies: examinations, history of printing, and gender) (Tokyo: Bensai Publishers, 2010), 85-109.
- “Songdai yu Dong Ya de duoguo xiti ji maoyi shijie” (Song China and the multi-state and commercial world of East Asia), *Beida xuebao (Zhhexue shehui kexue ban)* (Journal of Peking University – Philosophy and Social Sciences), 46.2 (2009): 99-108.
- “Muslim Merchants and Quanzhou in the Late Yuan-Early Ming: Conjectures on the Ending of the Medieval Muslim Trade Diaspora,” in Angela Schottenhammer, ed., *The East Asian >Mediterranean<: Maritime Crossroads of Culture, Commerce, and Human Migration* (Wiesbaden: Harrassowitz Verlag, 2008), pp. 115-132.
- “At the Intersection of Empire and World Trade: The Chinese Port City of Quanzhou (Zaitun), Eleventh-Fifteenth Centuries,” in Kenneth R. Hall, ed., *Secondary Cities and Urban Networking in the Indian Ocean Realm, c. 1400-1800* (Lanham, MD: Rowman & Littlefield Publishers, 2008), pp. 99-122.
- “Examinations During Dynastic Crisis: the Case of the Early Southern Song,” *Journal of Song Yuan Studies* 37 (2007): 135-160.
- “Diasporic Identities in the Historical Development of the Maritime Muslim Communities of Song-Yuan China,” *Journal of the Economic and Social History of the Orient* 49.4 (2006):395-420.
- “Examinations During Dynastic Crisis: the Case of the Early Southern Song” (in Chinese), *End of the Imperial Examination System and the Rise of the Study of the Imperial Examination*, edited by Liu Haifeng (Wuhan: Huazhong Normal University Press, 2006), 125-134.
- “Huizong, Cai Jing, and the Politics of Reform,” in *Emperor Huizong and Late Northern Song China: The Politics of Culture and the Culture of Politics* (Cambridge: Center for East Asian Studies, Harvard University, 2006), 31-77.
- “The Political and Social Evolution of the Song Imperial Clan” (in Japanese), *Tōhōgaku* (Eastern Studies) 102 (2002):128-143. Invited.
- “Sung Discourse on the History of Chinese Imperial Kin and Clans,” in Thomas H. C. Lee, ed., *The New and the Multiple: Sung Senses of the Past* (Hong Kong: The Chinese University of Hong Kong Press, 2004), pp. 349-373. Refereed.
- “Chinese Society and the Civil Service Examinations: Trends in Western Scholarship” (in Japanese), *Chūgoku: Shakai to Bunka (China: Society and Culture)*, No. 17 (June 2002): 174-185. Invited.

- "The Song Commercial Revolution," *Calliope* 13,4 (2002): 32-35.
- "The Rise and Regency of Empress Liu (969-1033)," *The Journal of Sung Yuan Studies* 31 (2002):1-25. Refereed.
- "Empress-Regents Under the Song: Power, Authority and the Feminine," *Transactions of the International Conference of Eastern Studies* No. XLVI (2001): 24-35. Invited.
- "The Impact of the Song Imperial Clan on the Overseas Trade of Quanzhou," in Angela Schottenhammer, ed., *The Emporium of the World: Maritime Quanzhou, 1000-1400* (Leiden: Brill, 2001), pp. 13-46. Refereed.
- "Cong wu dao wen: Songdai zongshi di hunyin guanxi" (From the military to the civil: the marriage relations of the Sung imperial clan), *Qingzhu Deng Guangming jiaoshou jiushi nian yanlun wenji* (Baoding: Hebei Jiaoyu chubanshe, 1997), 298-305. Invited article for a *festschrift* volume in honor of Professor Deng Guangming of Peking University.
- Sung Dynasty Bibliography, in Mary Beth Norton, ed., *The American Historical Association's Guide to Historical Literature*, 3rd edition (New York & Oxford: Oxford University Press, 1995), 1:301-3.
- Biography of Li Hsin-ch'uan (1167-1244), *Sung Biographies Supplementary Biographies* No. 2, *Journal of Sung Yuan Studies* 24 (1994): 205-15. Invited and refereed.
- "Two Sung Imperial Clan Genealogies: Preliminary Findings and Questions," *Journal of Sung-Yuan Studies* 23 (1993):99-109. Refereed.
- "The Historian As Critic: Li Hsin-ch'uan and the Dilemmas of Statecraft in Southern Sung China," in Conrad Schirokauer and Robert Hymes, eds., *Ordering the World: Approaches to State and Society in Sung Dynasty China* (Berkeley: University of California Press, 1993), 310-35. Refereed.
- "Two Sung Imperial Clan Genealogies: Preliminary Findings and Questions," *Journal of Sung-Yuan Studies* 23 (1993): 99-109. Refereed.
- "Songchao zongshi di lishi yiyi" (The Historical Significance of the Sung Imperial Clan), in *Guoji Songshi yantaohui lunwen xuanji* (Selected Papers from the International Symposium on Song History), Deng Guangming and Qi Xia, eds. (Baoding, Hebei: Hebei University Press, 1993): 505-513.
- "Chao Ju-yü, Spurious Learning, and Southern Sung Political Culture." *Journal of Sung-Yuan Studies* 22 (1990-92): 23-61. Refereed.
- "The Marriage of Clanswomen in the Sung Imperial Clan," in *Marriage and Inequality in Chinese Society* (Berkeley: University of California Press, 1991), 133-169. Refereed.
- "Status, Family and Locale: An Analysis of Sung Examination Lists," in *Collected Studies on Sung History Dedicated to Professor James T.C. Liu in Celebration of His Seventieth Birthday*, edited by Kinugawa Tsuyoshi. Kyoto: Dohosha, 1989.
- "From Capital to Countryside: Changing Residency Patterns of the Sung Imperial Clan," *Proceedings of the International Symposium on Sung History* (Taipei: Chinese Culture University, 1988), pp. 1-15. (Also published in *Chinese Culture* 30 (1989):21-34.)
- "Marriage and the Sung Imperial Clan," *Transactions of the International Conference of Orientalists in Japan* No. 32 (1987): 65-79.
- "Chu Hsi and the Revival of the White Deer Grotto Academy (1179-1181)," *T'oung Pao* 73 (1985): 40-62. Refereed.
- "To Spread One's Wings: Examinations and the Social Order in Southeastern China During the Southern Sung (1127-1279)," *Historical Reflections/ Reflexions Historiques* 9 (1982): 305-332. Refereed.

REVIEWS

- Review article of *Tea in China: A Religious and Cultural History* by James A. Benn, *The Rise of Tea Culture in China: The Invention of the Individual* by Bret Hinsch, *Harvard Journal of Asiatic Studies* 77.2 (2017), 493-502.
- Review of *Negotiated Power: The State, Elites, and Local Governance in Twelfth- to Fourteenth-Century China* by Sukhee Lee, *American Historical Review* 121.1 (2016): 219-20.
- Review of *Emperor Huizong* by Patricia Buckley Ebrey, *Journal of Chinese Studies* 60 (2015): 336-40.
- Review of *Rituals of Recruitment in Tang China: Reading an Annual Programme in the Collected Statements by Wang Dingbao (870-940)* by Oliver Moore (2004), *T'oung Pao*, Second Series, 93 (2007): 218-20.
- Review of *Powerful Relations: Kinship, Status, and the State in Sung China (960-1279)* by Beverly Bossler, *Harvard Journal of Asiatic Studies*, 60.1 (June 2000): 277-89.
- Review of *China Turning Inward: Intellectual Changes in the Early Twelfth Century* by James T.C. Liu, *Journal of Asian Studies* 49 (1990): 906-8.
- Review of *Court and Family in Sung China, 960-1279: Bureaucratic Success and Kinship Fortunes for the Shih of Ming-chou* by Richard L. Davis, *American Historical Review* winter 1987.
- Review of *Government Education and Examinations in Sung China* by Thomas H.C. Lee, *Journal of the American Oriental Society* 107.3 (1987).
- Review of *Academies in Ming China: A Historical Essay* by John Meskill, *Asian Thought & Society: An International Review* 7 (1982): 343-344.

PUBLICATIONS IN PROGRESS:

- "Han Chinese Representations of South Sea Merchants in Song China," in *Crossroads – Human Movement and Trade in the Indian Ocean World*, Angela Schottenhammer, ed. (Singapore: Harrassowitz Verlag, forthcoming).
- "West Asian merchant lives in eighth and ninth century Khanfu (Guangzhou)," in *The Copperplates from Kollam: Global and Local in Ninth Century South India*, Elizabeth Lambourn, Kesavan Velutat, and Roberta Tomber eds. (Delhi: Primus Books, forthcoming).

PAPERS AND INVITED LECTURES:

- "Public Universities and the Globalization of Education: Binghamton University and China." *China-US High School Curriculum Innovation Optics Valley Summit. Wuhan, China.* Wuhan, China. December 13, 2018.
- "Yuan Maritime Activities and the Role of Knowledge," presented at the conference on "Seafaring, Trade, and Knowledge Transfer: Maritime Politics and Commerce in Early Middle Period to Early Modern China and Beyond." Ghent, Belgium. July 2017.
- "Pu Shougeng Reconsidered: Pu, His Family, and their Role in the Maritime Trade of Quanzhou," paper presented at the conference "Beyond the Silk Road: Asian Maritime History and Culture," Shanghai, August 19-22, 2015.
- "Traders on a Distant Shore: Maritime Merchant Communities in Middle Period China (750-1400)," lecture to the Binghamton chapter of Phi Alpha Theta, April 23, 2015.
- "Maritime Merchant Diasporas in Coastal China, 800-1400," paper presented at the Second International Conference on Global Diasporas, Nirma University, Ahmedabad, Gujarat, India, January 10-11, 2015.
- "Foreign maritime communities and the law in Song China," paper delivered to the Harvard Middle Period China Conference, June 2014.

- “Han Chinese Representations of South Sea Merchants in Song-Yuan China,” paper presented for the conference, Crossroads between Empires and Peripheries – Knowledge Transfer, Product Exchange and Human Movement in the Indian Ocean World, University of Ghent, Ghent, Belgium, June 21, 2012.
- “West Asian merchant lives in eighth and ninth century Khanfu (Guangzhou),” paper presented for the conference on the Kollam Plates in the World of the Ninth Century Indian Ocean in Leicester, UK, June 12, 2013.
- “Maritime Merchant Communities in Song and Yuan China,” paper presented to the conference on *Denis Twitchett and Twentieth Century European and American Sinology*, National Central Library, Taipei, November 23-24, 2010.
- “Editing the Second Song Volume of the *Cambridge History of China*, Symposium on Trends in Western Sinology, National Tsinghua University, Hsinchu, Taiwan, November 22, 2010.
- “Cultural Transmission by Sea: Maritime Trade Routes in Yuan China,” paper presented to the conference on *Eurasian Influences on Yuan China: Cross-Cultural Transmissions in the 13th and 14th Centuries*, Binghamton University, November 21-22, 2009.
- Lecture on the Cambridge History of China project, of which I am an editor, Department of History, Peking University, Beijing, China. April 8, 2009.
- “‘Living in the Tang’: South Sea Maritime Merchants and their Chinese Hosts, 750-1368,” paper presentation for the annual meetings of the Association for Asian Studies, Chicago, March 27, 2009.
- “Trade diasporas in medieval maritime Asia: The Muslim case,” Workshop on Crossroads of Culture, Commerce, and Human Movement – Continental and Maritime Silk Routes Compared. Marburg, October 31, 2008.
- “Songdai yu Dong Ya de duoguo xiti ji maoyi shijie” (Song China and the multi-state and commercial world of East Asia), International Research Conference on Song History, Kunming, China, July 2008.
- “Muslim Merchants and Quanzhou in the Late Yuan-Early Ming: Conjectures on the Ending of the Medieval Muslim Trade Diaspora,” paper delivered to the East Asian Mediterranean: Crossroads of Culture, Commerce and Human Migration Conference, University of Munich, November 2007.
- “Why Study China? Teaching Chinese History in the Global Studies Curriculum,” presentation at the Binghamton University Teacher’s Workshop on Starting a K-12 Chinese Program, October 2007.
- “Reflections on the Cultural Impact of China in the 21st Century,” keynote address to the Southern Tier Social Studies Educators Conference, Johnson City, New York, March 28, 2007.
- “At the intersection of empire and world trade: the port city of Quanzhou (Zaitun), 1000-1400,” paper presented at the conference on "Small Cities: The Small City in Global Context," Ball State University, Indiana, April 2007.
- “Maritime Tribute and Maritime Trade from the Southern Seas in the Early Song,” paper presented at the annual meetings of the Association for Asian Studies, Boston, March 2007.
- “Medieval Extraterritoriality: Law and Maritime Merchant Communities in Tang-Song China,” paper presented at the Asian Merchant Cultures at the Crossroads Conference Hofstra University, March 9-10, 2006.
- “Reflections on China and the East Asian World Order, 700-1200 CE,” plenary address at Waseda University, Tokyo, Japan, November 26, 2005.
- “Examinations During Dynastic Crisis: the Case of the Early Southern Song,” paper presented to the International Conference on the Imperial Examination System and the Study of Imperial Examinations, Xiamen University, Xiamen, China, September 2005.

- “Diasporic Identities in the Maritime Muslim Communities of Song-Yuan China,” paper presented at the meetings of the Association for Asian Studies, Chicago, March 2005.
- “In the Foreign Quarter? Maritime Muslim Communities in Middle Period China,” paper presented at the meetings of the New York Conference on Asian Studies, Buffalo, New York, October 2003.
- “Maritime Communities in Middle Period China: A Preliminary Overview,” invited lecture at the Henry Jackson School, University of Washington, Seattle, February 2003.
- “Huizong, Cai Jing, and the Politics of Reform,” Conference on Huizong and the Culture of Northern Song China Brown University, November 30-December 2, 2001
- “Empress-Regents Under the Song: Power, Authority and the Feminine,” the 46th International Conference of Eastern Studies (Tokyo), May 19, 2001
- “Chinese Society and the Civil Service Examinations: Trends in Western Scholarship,” lecture at Tokyo University, May 22, 2001.
- “The Political and Social Evolution of the Song Imperial Clan,” the 46th International Conference of Eastern Studies (Kyoto), May 26, 2001
- “Weber Revisited: the Gentleman Ideal and Expert Realities in Imperial China, 960-1911,” Workshop on Experts and Expertise in Pre- and Early Modern Societies, Ann Arbor, October 6-7, 2001.
- “Liuhou jiqi dui Songdai zhengzhi wenhua di yingxiang” (Empress Liu and her Influence on Song Government and Culture), paper presented to the International Conference on Song History, Baoding, Hebei, July 2000.
- “China’s Place in World History,” Conference on Paradigms in World History, Binghamton, NY, March 3, 2000.
- “Autocracy Revisited: Reflections on the Imperial Institution in Song China,” paper presented at the International Convention of Asia Scholars, Leeuwenhorst, The Netherlands, June 1998.
- “The Impact of the Song Imperial Clan on the Overseas Trade of Quanzhou,” paper presented at the Conference on The Overseas Trade of Quanzhou in the Song and Yuan Dynasties, Leiden, The Netherlands, September, 1997.
- “Integration and Opportunity: The Imperial Clan in the Early Southern Sung,” Traditional China Seminar, Columbia University, May 1996.
- “Emperorship and Imperial Clan in Sung History,” lecture to the Pre-Modern China Seminar, Harvard University, March 27, 1997.
- “Song Discourse on the History of Chinese Imperial Kin and Clans,” paper presented at the Conference on Song Historical Thinking, Bahamas, January 1997
- “The Political Roles of the Sung Imperial Clan,” paper presented at the Annual Meetings of the New York Conference on Asian Studies, New Paltz, October 1993.
- “Civil-izing the Emperor’s Family: Marriage and the Sung Imperial Clan,” paper presented at the Annual Meetings of the Association for Asian Studies, Boston, March 1994.
- “Songdai zongshi duiyu Songdai lishi di yingxiang” [The historical influence of the Sung imperial clan]. Paper presented at the International Symposium on Song History, Beijing, China, August 10-14, 1991.
- “Chao Ju-yü, Spurious Learning, and Southern Sung Political Culture.” *Journal of Sung-Yuan Studies* 22 (1990-92):23-61.
- “Gender Class and Countryside: Revolutionary Heroines in Chinese Films,” paper presented to the First Conference of the Asian Film Studies Association/10th Annual Ohio Film Conference, Athens, Ohio, October, 1988.
- “From Capital to Countryside: Changing Residency Patterns of the Sung Imperial Clan,” paper to be presented to the International Symposium on Sung History, Taipei, Taiwan, June 1988.
- “The Marriage of Clanswomen in the Sung Imperial Clan.” ACLS Conference on Marriage and Inequality in China, Asilomar, January 1988.

- "Heavenly Branch or Discarded Object: Reflections on the Sung Imperial Clan." Harvard University China Program, November 1987.
- "Marriage and the Sung Imperial Clan." 32nd International Conference of Orientalists in Japan, Tokyo, May 2, 1987.
- "Examinations and the Literati Social Order." Workshop on Sung Literati Culture, Harvard University, May 19-20, 1986.
- "Chu Hsi in Nan-k'ang: *Tao-hsueh* and the Politics of Education." ACLS Conference on Neo-Confucian Education: the Formative Stage, Princeton, August 30-September 4, 1984.
- "Gods, Ghosts and Wantering Literati: the Examination Life in Sung Dynasty China." China-Japan Program, Cornell University, November 1983.
- "Through Thorny Gates: Examination Culture and Examinations in Sung Culture." Traditional China Seminar, Columbia University, May 1983.
- "Chu Hsi and the Revival of the White Deer Grotto Academy." International Conference on Chu Hsi, University of Hawaii, July 1982.
- "Visions of Honor and the Failure of Fairness in the Civil Service Examinations of Song China." History Department Colloquium, SUNY-Binghamton, April 1982.
- "Region, Subregion and Examination Success in South China During the Sung." Annual meetings of the Association for Asian Studies, Washington, D.C., 1980.
- "To Spread One's Wings: Examinations and Intellectual Life in Southern Sung China." Presented jointly for the Tri-State Seminar on Modern China and the Mid-Atlantic Regional Meetings of the Association for Asian Studies, Clarion, Pennsylvania, 1979.
- "Education and the Development of an Examination-Oriented Society in Sung China." China Seminar, University of Pittsburgh, 1978.
- "Regional Analysis and Examination Success in South China During the Sung." Tri-State Seminar on Modern China, University of Pittsburgh, 1978.
- "Privilege and Examinations: Trends in Bureaucratic Mobility During the Sung." Annual meetings of the Association for Asian Studies, Toronto, 1976.